Epigenetics and transcription regulation during eukaryotic diversification: the saga of TFIID

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The basal transcription factor TFIID is central for RNA polymerase II-dependent transcription. Human TFIID is endowed with chromatin reader and DNA-binding domains and protein interaction surfaces. Fourteen TFIID TATA-binding protein (TBP)-associated factor (TAF) subunits assemble into the holocomplex, which shares subunits with the Spt-Ada-Gcn5-acetyltransferase (SAGA) coactivator. Here, we discuss the structural and functional evolution of TFIID and its divergence from SAGA. Our orthologous tree and domain analyses reveal dynamic gains and losses of epigenetic readers, plant-specific functions of TAF1 and TAF4, the HEAT2-like repeat in TAF2, and, importantly, the pre-LECA origin of TFIID and SAGA. TFIID evolution exemplifies the dynamic plasticity in transcription complexes in the eukaryotic lineage.

Supplemental material is available for this article.

The complexity of eukaryotic organisms requires tightly regulated and fine-tuned gene expression programs for the adaptation to intracellular and extracellular challenges (López-Maury et al. 2008; Rosanova et al. 2017). The basal transcription factor TFIID is critical for gene transcription by RNA polymerase II (Pol II), as it is the first protein complex to recognize core promoters and nucleate preinitiation complex assembly (Gupta et al. 2016). Comprised of TATA-binding protein (TBP) and 13–14 TBP-associated factors (TAFs), the TFIID complex includes a number of domains essential for its core promoter recognition function (Fig. 1; Chalkley and Verrijzer 1999; Vermeulen et al. 2007; Gupta et al. 2016). Several TFIID subunits are shared with the Spt-Ada-Gcn5-acetyltransferase (SAGA) coactivator complex (Fig. 1; Spedale et al. 2012). SAGA is a multimeric complex consisting of sever-

al functional modules carrying histone acetyltransferase (HAT) or deubiquitination (DUB) functions (Helmlinger and Tora 2017). The evolutionary link between SAGA and TFIID is evident by shared and paralogous subunits, which resulted from gene duplication and subfunctionalization events (Spedale et al. 2012). However, it is unclear when the ancestral subunits of TFIID and SAGA emerged and how they should be placed on the evolutionary tree of eukaryotes (Fig. 1). Insights into the timing of these duplications helps to understand the subfunctionalization and redundancy of TAFs and TFIID and might also provide a better understanding of the idiosyncrasies of transcription regulation across the whole domain of eukarya.

Here, we determine the evolutionary history of all TFIID subunits by examining the occurrence and structure of their genes over a time span of almost 2 billion years. TFIID and SAGA subunits are placed in a functional context to understand their diversification. We address the following questions: What is the origin of TFIID? Are functional domains conserved throughout gene duplication events in TAFs? Which functional domains of TAFs are highly dynamic across eukaryotic evolution, and which ones are relatively stable? When did SAGA and TFIID duplicate and diverge? How did TFIID diversify in structure and function to meet the growing morphological complexity across evolving species?

These questions are examined by phylogenetic comparisons and by profile searches across a set of well-annotated genomes representative of the eukaryotic kingdom (Supplemental Fig. S1). The results are organized per sets of functionally similar TAFs. First, we start by examining the three TAFs implicated in chromatin binding (TAF1, TAF2, and TAF3). Second, we determine the relationships between TAF8, TAF3, and the SAGA subunit SPT7.

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Figure 1. Structural variation between human (h) and yeast (y) TFIID and SAGA complexes. Shared TAFs between TFIID and SAGA may reflect a common ancestral origin for the two complexes (here “ancestor?”). Reduction of shared TAFs between TFIID and SAGA in human versus yeast *Saccharomyces cerevisiae* as well as loss of epigenetic domains in *S. cerevisiae* (e.g., TAF1 BrDs [bromodomains] and TAF3 PHD) indicate divergence in TFIID and SAGA adaptation to transcriptional requirements across different eukaryotic branches (Matangkasombut et al. 2000; Gangloff et al. 2001a; Spedale et al. 2012). Unique and shared subunits as well as epigenetic reader domains are color-coded as indicated.

Third, we describe the evolutionary rather invariant subunits TAF5, TAF6, TAF7, TAF9, and TAF10, including their paralogs. Fourth, the relationships of the TAF4 and TAF12 pair are analyzed with respect to the ADA1 subunit of SAGA. Finally, we propose models for the origin of TAF11, TAF13, and their SAGA paralog, SPT3. Our combined results strongly support a pre-LECA (last eukaryotic common ancestor) origin for the complete TFIID complex, comprising the full ensemble of TAFs. Later lineage-specific duplications resulted in TAF1L, TAF3, TAF4B, TAF4x, TAF7L, TAF9B, and the TAF12 paralog, EER4, which allowed subfunctionalizations to support increasingly complex multicellularity. Highly sensitive profile searches in a representative set of eukaryotic proteomes indicate a dynamic distribution of the bromodomain (BrD) and plant homeodomain (PHD) epigenetic domains within TFIID evolution. These dynamic domains are in sharp contrast to the invariable histone fold (HF), WD40, and HEAT domains, whose conservation reflects their central role in the complex integrity (Kolesnikova et al. 2018; Patel et al. 2018). Additionally, besides TAF paralogous subfunctionalizations, we characterize a stable ancestral repertoire of TFIID subunits combined with a persistent and invariable structure across the entire eukaryotic lineage.

Evolutionary dynamics of the basal transcription machinery

TFIID uses TBP to recognize the TATA element of core Pol II promoters, and this has been well studied (Tora and Timmers 2010). Stable binding of TBP to TATA-boxes involves insertion of two highly conserved phenylalanine pairs of TBP into the DNA, which results in an ~80° angle. In vitro binding of TATA by TBP displays a long half-life, which is countered by the NC2 and BTAf1/MOT1 regula-

tors of TBP activity. These proteins are required for the dynamic behavior of TBP in vivo (Tora and Timmers 2010). Phylogenetic comparisons revealed that these two phenylalanine pairs in TBP coevolved with genes encoding NC2 and BTAf1/MOT1 (Koster et al. 2015). TBP variants binding less stably to TATA elements do not seem to require NC2 and BTAf1/MOT1, indicating that the Pol II basal transcription machinery can adapt to evolutionary pressures. All eukarya contain at least a single gene for TBP, but TBP homologs can also be found in certain archaeal lineages. However, the genes encoding NC2, BTAf1, or the TFIID TAFs are unique to eukarya (Koster et al. 2015; our unpublished results) and absent from currently available archaeal genomes. It has been shown that several TAFs are duplicated in eukaryotic evolution, which suggests that functional and structural divergence correlates with increased transcriptional complexity. In metazoa, TBP and TAF paralogs are actively involved in promoting development and differentiation as well as maintaining cell and tissue identity (Frontini et al. 2005; D'Alessio et al. 2009; Pijnappel et al. 2013; Zhou et al. 2013, 2014).

Domain analysis of TAF1 and TAF2 reveals BrD dynamics in fungi

The two largest TFIID subunits are represented by the TAF1 and TAF2 proteins. TAF1 is characterized by a variety of domains, which together classify it as the most structurally diverse subunit of TFIID. This complexity is reflected by the large size of the protein, its neuronal-specific alternative splicing, and its functions in both chromatin binding and complex stabilization (Chalkley and Verrijzer 1999; Gupta et al. 2016). The tandem BrDs of metazoan TAF1 are central to TFIID function as a transcription regulatory complex. BrDs mediate binding to acetylated lysines on histones H3 and H4, which are

hallmarks of active promoters and transcription (Jacobson et al. 2000). Interestingly, human *TAF1* is localized on the X chromosome, and missense mutations in the BrD region have been identified in male patients with intellectual disability phenotypes (O'Rawe et al. 2015). In the plant *Arabidopsis thaliana* (see the Glossary for species, clades, etc.), *TAF1* only has a single BrD, and *Saccharomyces cerevisiae* *TAF1* lacks both BrDs (Matangkasombut et al. 2000; Bertrand et al. 2005). These observations prompted us to investigate in more detail at which point during evolution (see Supplemental Fig. S1 for an evolutionary time tree) the BrDs were lost or acquired.

Analysis of *TAF1* domain organization among orthologs revealed a secondary loss of the BrD in the ancestor of dikarya, a subkingdom of fungi that contains ascomycota and basidiomycota (Fig. 2A). Notably, ascomycota include model organisms such as *S. cerevisiae*, *Kluyveromyces lactis*, *Neurospora crassa*, and *Schizosaccharomyces pombe*. Furthermore, the BrD has an overall patchy (or irregular) occurrence in fungal species and points toward independent loss in at least five lineages, including mucoromycota, chytridiomycota, and the early fungal relative fonticula (Supplemental Figs. S1, S2). All metazoans, on the other hand, possess a second BrD acquired in their common ancestor. The presence of a BrD is predicted to have direct implications for *TAF1* binding to acetylated nucleosomes in the vicinity of transcription regulatory elements (Jacobson et al. 2000). The absence of BrDs in fun-

gal lineages suggests that acetylated nucleosomes do not serve as anchoring points for fungal TFIID.

In contrast to *TAF1*, *TAF2* domain analysis revealed highly dynamic BrD distribution across fungi (Fig. 2B). While *TAF2* from ascomycota does not possess any BrD (similarly to *TAF1*), differential loss and gain of a variable number of BrDs (ranging from one to six) was observed in *TAF2* from members of the basidiomycota, mucoromycota, and blastocladiomycota. The dynamic nature of the *TAF2* BrDs is emphasized by a differential occurrence in related fungi. For example, the two closely related species of *Mucor circinelloides* and *Phycomyces blakesleeanus* (members of mucoromycotina) (Supplemental Fig. S1) contains six BrDs or no BrD, respectively (Supplemental Fig. S3). Mortierellomycetes (closely related to mucoromycotina) contain four BrDs. As indicated, *TAF2* from ascomycota consistently lacks a BrD, but *TAF2* from their closest basidiomycota contains BrDs (Supplemental Figs. S1, S3). These BrDs were likely acquired in a fungal ancestor, since their emergence is only specific for the fungal branch of the eukaryotic tree.

Altogether, the analysis of BrD occurrence across TAFs shows that this domain is present only in *TAF1* and *TAF2*. Due to its direct involvement in epigenetic regulation via acetylated histone recognition, the overall BrD dynamics observed in *TAF1* and *TAF2* emphasize differential transcription regulation in diverse eukaryotic lineages. Notably, in fungal TFIID, BrDs were differentially acquired

Figure 2. Inferred evolutionary history of *TAF1* and *TAF2*. (A) *TAF1* is duplicated in the Old World monkeys. BrD is gained in the ancestor of metazoa and lost in dikarya. Streptophyta acquired a ubiquitin-like domain. (B) *TAF2* contains previously unrecognized HEAT2-like repeats. Various BrDs were acquired early in fungal evolution and subsequently lost late in fungi. Duplications are represented as red arrows; gradient domains are not predicted in all species of that respective (super)group.

and have been independently lost multiple times during evolution. Several fungal species seem to compensate for the absence of a TAF1 BrD by the presence of multiple BrDs in TAF2. Transfer of the BrD from TAF1 to TAF2 could influence TFIID conformation on the core promoter but could also reflect different histone acetylation patterns between species.

Beside BrDs, TAF1 structure is characterized by an N-terminal TBP-binding domain (TAND) (Burley and Roeder 1998), a central domain for dimerization with TAF7 (Bhattacharya et al. 2014), and a zinc finger region (zf-CCHC_6) or Zn knuckle only recently described as involved in core promoter DNA binding (Curran et al. 2018). Previous work indicated that TAF1 can bind to the INR element of core promoters (Chalkley and Verrijzer 1999), but this function has not been mapped to a TAF1 domain yet. Examination of domain dynamics of the TAND and Zn knuckle regions across species is limited by the low sequence conservation of these regions, resulting in their patchy (or irregular) distributions across the phylogenetic tree (Fig. 2A). However, the observation that both domains occur in numerous common ancestors indicates that they have been present in an ancient eukaryotic progenitor.

In *A. thaliana*, a TAF1 ubiquitin-like module has been proposed (Bertrand et al. 2005). Our analysis indicates that this module was acquired as early as the ancestor of the streptophyta, which contains land plants and related eukaryotic algae (Fig. 2A). *Klebsormidium flaccidum* is the earliest branching species that contains a ubiquitin-like domain, which is retained up to *A. thaliana* (Supplemental Figs. S1, S2). The domain is inserted into the TAF7 interaction domain (Bertrand et al. 2005; Wang et al. 2014). The existence of a conserved ubiquitin-like domain within TAF1 in streptophyta is suggestive of regulatory processes involving ubiquitin-binding modules, but the exact link with transcription remains to be discovered. Finally, our analysis did not reveal any HAT or kinase domain within TAF1, which has been suggested previously (Matangkasombut et al. 2000).

Besides domain rearrangements, TAF1 evolution is further marked by a duplicative retrotransposition event in the hominoid lineage. This TAF1L gene was first identified in Old World monkeys (cercopithecidae) using intron-spanning primers, and protein expression is only observed in the testis (Wang and Page 2002). Adding Old and New World monkeys to the data set and analyzing TAF1 paralogs confirmed this duplication timing, as there is no paralogous TAF1 gene in *Callithrix jacchus*, a New World monkey, while the Old World monkey genomes of *Hylobates leucogenys* and *Papio anubus* contain TAF1L (Fig. 2A; Supplemental Figs. S1, S2). Furthermore, TAF1L of these species clusters with human TAF1L in the gene tree (Supplemental Fig. S2), which reflects the close relationship of *Homo sapiens* with Old World monkeys.

Domain interrogation highlights a HEAT2-like repeat region in TAF2

TAF2 is characterized by the N-terminal aminopeptidase M1-like domain, homologous to the catalytic domain of

leukotriene A4 hydrolase (LTA4H), a member of the structurally conserved M1 aminopeptidase family of proteins (Papai et al. 2009; Drinkwater et al. 2017). Despite this homology, the signature exopeptidase motif of M1 aminopeptidase, GxMxN, is not present in any of the TAF2 orthologs (data not shown), indicating that the protein lacks peptidase activity. However, the zinc-binding motif of the catalytic site, HExxHx₁₈E, is present in a number of TAF2 orthologs, including human (Hosein et al. 2010). Domain investigation of TAF2 confirmed the consistent presence of peptidase M1-like across all eukaryotes (Fig. 2B; Supplemental Fig. S3), indicating a pre-LECA origin.

Interestingly, analysis using the Conserved Domain Database (CDD; NCBI) in the TAF2 orthologous group revealed a HEAT2-like repeat region (data not shown). The presence of a HEAT structure in TAF2 is consistent with recent cryo-EM results of human TFIID, which revealed a density in TAF2 with architecture resembling an armadillo fold (Louder et al. 2016). The study used human endoplasmic reticulum aminopeptidase1 (ERAP1), also a member of the M1 aminopeptidase family, for homology-based modeling of TAF2 into the structure of TFIID. Notably, atomic structure analysis of ERAP1 revealed eight atypical HEAT repeats at its C terminus (Nguyen et al. 2011). To enhance the sensitivity for detection of HEATs in our TAF2 orthologous group, the identified HEAT region was included in the tree analysis, since the best predictors for a HEAT repeat identity are protein internal repeats (Yoshimura and Hirano 2016). Indeed, two pairs of HEAT2-like repeats were identified and are ubiquitously present in TAF2 orthologs across all eukaryotes (Fig. 2B). The first pair of HEAT repeat resides in all TAF2 orthologs, while the second repeat is present mainly in metazoa and has a patchy distribution across the rest of the eukaryotic tree. However, even with the enhanced detection sensitivity, the sequence divergence in HEAT repeat sequences was quite large, and, outside the regions of HEAT2 homology, the exact architecture and number of the expected repeats could not be determined. Based on our analyses and the ERAP1 structure, we propose that human TAF2 contains a HEAT2-like region spanning amino acids 646–976 and likely consisting of eight repeats (Fig. 2B).

In conclusion, our analyses showed that, unlike their epigenetic domains, the remaining structures of TAF1 and TAF2 are invariable across eukaryotes and most likely have a pre-LECA origin. A notable exception is the ubiquitin-like domain insertion in TAF1, originating in the plant branches of eukaryotic evolution. TAF2 in LECA contained the N-terminal aminopeptidase M1-like domain followed by a region of HEAT2-like repeats.

The TAF3 PHD finger is dynamic in the eukaryotic lineage

Generation of the orthologous tree for TAF3 was complicated by consistent cross-identification of TAF8 and the SPT7 (SUPT7L in metazoa) subunit of SAGA in the profile search. Notably, all three proteins are shown to form a HF pair with TAF10 (Gangloff et al. 2001b). Therefore, the

proteins were combined in a single group. Investigation of their evolutionary relationship indicated that they share a highly conserved HF domain (HFD), which is the reason for their cross-identification. In addition to the HFD, TAF8 is characterized by a proline-rich region, which is invariable across species (Fig. 3). This allowed separation of TAF3 and SPT7 to examine their domain evolution. In contrast to TAF8, both TAF3 and SPT7 have undergone substantial reorganization across species, which is discussed separately.

Given the highly similar domain architectures of the TAF3 N-terminal HFD and the C-terminal PHD finger within early branches of fungal mucoromycotina (e.g., in *M. circinelloides*) and metazoans, it seems that TAF3 emerged in the opisthokonta (animal and fungal lineages and their unicellular relatives but not plants) ancestor through a duplication of TAF8 followed by acquisition of a PHD finger (Fig. 3A; Supplemental Figs. S1, S4). Within opisthokonta, TAF3 is highly variable. As such, human TAF3 includes the C-terminally acquired PHD finger central to H3K4me3 recognition and TFIID association with active promoters (Vermeulen et al. 2007). *S. cerevisiae* TAF3 lacks this chromatin reader domain (Gangloff et al. 2001a), which is consistent with observations that H3K4me3 modifications are less important for gene transcription in this yeast (Howe et al. 2017). Interrogation of the timing of PHD loss indicates a secondary loss early in

fungal evolution based on the presence of a C-terminal PHD finger in mucoromycotina (e.g., *M. circinelloides*) and metazoa (Fig. 3A; Supplemental Figs. S1, S4). In contrast, fungi branching after the split of mucoromycotina (mainly dikarya) lack a PHD finger and are characterized solely by the HF (Fig. 3A; Supplemental Figs. S1, S4). Interestingly, there is significant overlap between fungal members that lack both the TAF1 BrD and the TAF3 PHD finger, which strengthens the hypothesis of a smaller contribution of chromatin modifications to transcription regulation in these organisms. On the other hand, the gain of TAF2 BrDs in some species may reflect an alternative mechanism for chromatin binding by TFIID or an intermediate stage of adaptation.

Outside of the opisthokonta, most proteins in the gene tree contain a canonical TAF8 or SPT7 but not TAF3, indicating that TAF3 is not present in nonopisthokonta eukaryotic branches such as plants. Earlier work using a yeast two-hybrid approach in *A. thaliana* could not identify TAF3, supporting its absence in archaeplastida (including also land plants) (Lawit et al. 2007). The absence of TAF3 in archaeplastida suggests that TAF8 may be present in two copies in the TFIID complex in this supergroup in order to match two proposed two-copy stoichiometry of TAF10 within the complex (Bieniossek et al. 2013).

The hypothesis of TAF8 duplication at opisthokonta and the resultant TAF3 is evident only from the domain

Figure 3. Inferred evolutionary history of TAF3, TAF8, and SPT7. (A) TAF3 arises from a duplication of a shared ancestor of TAF8 in opisthokonta. TAF3 acquired a PHD, which is secondarily lost in late fungi. (B,C) SPT7 duplicated either in the ancestor of the amoebozoa (B) or pre-LECA (C), implying differential loss. Metazoan SPT7 lost its BrD. Duplications are represented as red arrows.

analysis and not from ortholog clustering (Fig. 3A; [Supplemental Fig. S4](#)). Indeed, several TAF3 proteins in ascomycota (fungi) partly cluster close to their TAF8 counterpart, which could be interpreted as independent duplication and convergent evolution of TAF3 in fungi. Furthermore, TAF3 paralogs in mucoromycotina (fungi) cluster together with TAF8 in the supergroup of SAR (stramenopiles, alveolates, and rhizaria), which suggests the presence of one common protein (TAF8) rather than a separate TAF3 protein ([Supplemental Fig. S4](#)). In addition, no holozoa (single-celled organisms closely resembling animals) TAF3s are present in the gene tree (Fig. 3A). A possible explanation for such clustering inconsistencies comes from the relatively short sequences of TAF3, TAF8, and SPT7 HFDs used as a baseline for our tree, which likely lacks sufficient evolutionary information. Since all three proteins interact differently with their common interaction partner TAF10 (Gangloff et al. 2001b), significant sequence divergence is also likely to play a role in the observed clustering inconsistencies. Consequently, our TAF3 origin and evolution hypothesis is based mostly on domain organization analysis and not clustering in the gene tree.

In conclusion, TAF8 is present invariantly across the entire eukaryotic lineage and has a pre-LECA origin. Subsequent duplication in opisthokonta most likely gave rise to TAF3. Subsequently, TAF3 acquired a PHD finger, which is retained in metazoa and early fungi (mucoromycotina) and is subsequently lost later in fungal evolution. Similar to TAF1 and TAF2, TAF3 PHD evolution demonstrates the dynamic nature of epigenetic readers within TFIID across the eukaryotic lineage.

Comparative evolutionary analysis of TAF8 and SPT7 reveals BrD gains in SAGA

SPT7 is a SAGA-specific subunit that interacts via its HFD with TAF10, which is shared between SAGA and TFIID across species (Spedale et al. 2012). Domain characterization revealed that in amoebzoa and opisthokonta, ancestral SPT7 includes an N-terminal BrD followed by an HFD (Fig. 3B). Interestingly, in metazoa, SPT7 (hSPT7L) lacks a BrD, which results from secondary loss in the animal ancestor, as is evidenced by the presence of a BrD in unicellular holozoan SPT7 (Fig. 3B; [Supplemental Fig. S4](#)). None of the early animals, such as *Nematostella vectensis*, retained this BrD, which suggests a functional reduction of metazoan SAGA in binding acetylated lysines (Fig. 3B; [Supplemental Figs. S1, S4](#)). This may be compensated for in metazoan SAGA through a BrD in the GCN5 subunit of the HAT module (Hassan et al. 2002). The GCN5 BrD is essential for SAGA chromatin recognition and transcriptional activation (Syntichaki et al. 2000). The SPT7 BrD has been shown to anchor SAGA to acetylated chromatin but was dispensable for the function of the complex in *S. cerevisiae* (Hassan et al. 2002). Structural interrogation of BrDs suggests conserved core residues involved in the recognition of acetylated lysines surrounded by a target-specific cavity, which differs between individual domains (Josling et al. 2012). As such, while dispensable for SAGA function, the BrD of fungal SPT7 seems to add ver-

satility to the molecular mechanisms of chromatin recognition by SAGA, and this function has been lost in animals. This highlights the diverging functions of SAGA between fungi and metazoa and mimics in reverse TFIID evolution, in which animals, but not fungi, increase their epigenetic dependency through acquisition of relevant domains within the complex.

Similarly to TAF3, timing the origin of SPT7 is challenging, but domain analysis indicates that SPT7 resulted from a duplication event of TAF8. This event occurred either in the ancestor of amoebzoa and opisthokonta (Fig. 3B) or pre-LECA (Fig. 3C). Orthologs of SPT7 are present across all amoebzoa and opisthokonta, which suggests an origin in their ancestor. Nevertheless, there are two proteins in the plant, including archaeplastida that cluster together with SPT7—one from *Cyanophora paradoxa* (a glaucophyte), which only has the HFD, and another from *K. flaccidum*, which contains a BrD followed by an HFD ([Supplemental Fig. S4](#)). The presence of an SPT7-like sequence outside of amoebzoa and opisthokonta could be due to (1) SPT7 originating pre-LECA and differential loss in the respective supergroups (Fig. 3C); (2) horizontal gene transfer (HGT) to these species, which is a rare eukaryotic event (Leger et al. 2018); or (3) technical difficulties in domain sequence alignment and low conservation. Based on this, the precise timing and origins of SPT7 remains unclear.

In conclusion, we propose that SPT7 originates from either amoebzoa or a pre-LECA TAF8-like ancestor. In the latter case, the SPT7/TAF8 ancestor was probably a subunit of both SAGA and TFIID. A duplication event resulted in ancestral TAF8 and SPT7 proteins, both of which subfunctionalized to TFIID and SAGA, respectively. After this duplication, SPT7 acquired a BrD in the amoebzoa–opisthokonta ancestor and some archaeplastida, which has been lost subsequently in animals. This dynamic gain (in fungi) and loss (in metazoa) of BrDs is reminiscent of their variable occurrence in TAF1 and TAF2 between these two kingdoms, which supports plasticity in binding acetylated lysines. This appears to be a common theme in the dynamic evolution of TFIID and SAGA complexes.

The invariable ancestral repertoire of TFIID

The dynamic domain variations in TAF1, TAF2, and TAF3 are contrasted by relatively stable domain organization of the other TAF subunits. These include the core TFIID subunits TAF5, TAF6, and TAF9 (Bieniossek et al. 2013) as well as TAF10 and TAF7. The TAF4 and TAF12 core subunits appear to have followed a distinct evolutionary pathway in plants and therefore are discussed separately. In addition, while TAF11 and TAF13 maintain their simple HFD-only structure, these proteins are discussed separately in light of their evolutionary link with the SAGA subunit SPT3 (hSPT3H).

The evolution of TAF5 and TAF6 shares a common theme of duplication into paralogs that subfunctionalized toward TFIID or SAGA. Previous studies speculated on animal-specific timing of duplication, as both paralogs

are present in *Drosophila melanogaster* (Spedale et al. 2012). The earliest detection of TAF5 and TAF6 paralogs in our orthologous gene tree is in *N. vectensis*, which confirms duplication of TAF5 and TAF6 in an ancestor of metazoa (Fig. 4A,B; Supplemental Figs. S1, S5, S6). This analogous evolution fits well with the close interaction of the proteins in core TFIID and their central role in overall complex integrity (Bieniossek et al. 2013). In addition, a simultaneous occurrence of the SAGA-specific TAF5L and TAF6L paralogs is indicative of the combinatorial structural basis for discrimination between TFIID and SAGA in terms of architecture, assembly, and function.

Domain analysis of TAF5 and TAF6 revealed an overall stable organization. TAF5 has been characterized by the presence of a Lis homology domain (LisH) followed by the N-terminal domain 2 (NTD2) and WD40 repeats (Bieniossek et al. 2013; Malkowska et al. 2013). Assessing the domain organization of TAF5 indicated seven WD40 repeats, which are widely spread across all eukaryotes (Fig. 4A; Supplemental Fig. S5). The first and last repeat diverged more compared with the other repeats, which complicated identification using the canonical WD40 model and required optimization of repeat detection. In SAR and excavata (super group containing unicellular organ-

isms), the prediction accuracy was insufficient, resulting in a variable number of WD40 repeats ranging from one in *Blastocystis hominis* to seven in *Aplanochytrium kerueense* (Supplemental Fig. S5). Moreover, the sequence length separating the repeats increases, indicating possible structural divergence, which likely contributed to the occasional patchiness of the repeats in our tree (Fig. 4A; Supplemental Fig. S5). The LisH domain also exhibited patchy distribution in the alignments due to low conservation of these sequences.

TAF6 has been characterized previously by the presence of a N-terminal HFD-mediating TAF9 interaction, which is followed by a region of HEAT repeats (Bieniossek et al. 2013). Domain analysis revealed no innovations for TAF6 among eukaryotes (Fig. 4B; Supplemental Fig. S6). Notably, the publicly available TAF6_C_HEAT (Pfam: PF07571) model does not cover the entire HEAT repeat and starts only from helix 2 in repeat 3 to helix 1 in repeat 5 (Scheer et al. 2012). The entire HEAT region in human TAF6 spans from 218 to 477 (Scheer et al. 2012). The individual HEAT repeats have diverged significantly in sequence, which prevents the determination of possible gains or losses in HEAT repeats.

Similar to its TAF6 interaction partner, TAF9 is present across the entire eukaryotic lineage (Fig. 4C; Supplemental

Figure 4. Evolutionary history of the relative invariable TFIID subunits. (A) TAF5 duplicated in the ancestor of animals and contains seven WD40 repeats. (B) TAF6 duplicated in the ancestor of animals. TAF5 and TAF6 paralogs subfunctionalized to either SAGA or TFIID. (C) TAF9 duplicated in placentalia but did not subfunctionalize to SAGA. Duplications are represented as red arrows; gradient domains are not predicted in all species of that respective (super)group.

Fig. S7). As TAF9 has been duplicated into TAF9b in mammals (Frontini et al. 2005), additional mammals were included in the representative eukaryotic tree to determine the timing of this duplication event. This revealed that gene duplication occurred in the ancestor of placental mammals, as a single TAF9 protein was detected within *Ornithorhynchus anatinus* (platypuses). Meanwhile, two TAF9 proteins are present within *Loxodonta africana* (African elephants), each of which clusters with TAF9 and TAF9b of *H. sapiens* and *Mus musculus*, respectively (Fig. 4C; Supplemental Figs. S1, S7). Furthermore, *Macropus eugenii* (wallabies) or *Monodelphis domestica* (opossums) do not have a TAF9 duplication. These two organisms belong to the marsupialia and are the closest relatives of the placentalia (Deakin 2012). Hence, TAF9 was duplicated later than TAF5 and TAF6. The timing difference suggests distinct functional outcomes for the three duplication events. Indeed, neither TAF9 nor TAF9b subfunctionalized toward SAGA. The structural invariability and functional conservation of TAF9 possibly reflects on its role in complex integrity of both TFIID and SAGA, while the TAF9 interaction partner TAF6 and its associated partner, TAF5, provide context-dependent variability in animals.

The pattern of invariability in one interaction partner while the other is continuously evolving is also observed for other TAFs. A highly conserved structural organization of TAF10 and TAF7 is observed across species, but their interaction partners (TAF3 and TAF8 or TAF1, respectively) are characterized by a dynamic domain organization. In short, TAF10 contains only an HFD and maintains this basic fold across the entire tree of eukaryotes (Supplemental Figs. S8A, S9). TAF7 is characterized by the presence of an NTD (Pfam; TAFII55_N), essential for the interaction with TAF1 (Bhattacharya et al. 2014). TAF7 structure is conserved across all eukaryotes (Supplemental Figs. S8A, S10). The TAF7 paralog TAF7L is essential for spermatogenesis in mice (Cheng et al. 2007), which is striking in light of testis-specific expression of the TAF1 paralog TAF1L (Wang and Page 2002). Timing the duplication for this paralog was challenging due to a low sequence conservation. In the vertebrate ohnolog database, TAF7L has an intermediate confidence for being duplicated in the vertebrate whole-genome duplication (WGD) event (Singh et al. 2015). Addition of vertebrate species to the database does not support this prediction and reveals a likely origin of TAF7L in mammals, as two copies of TAF7 were detected in *O. anatinus* (Supplemental Figs. S1, S8B, S10)—a part of the monotremes (egg-laying mammals) that branched early in mammalian evolution and has a striking combination of mammalian and reptilian features (Luo et al. 2011).

In summary, TAF5 and TAF6 duplicated in the ancestor to metazoa and are otherwise present across the eukaryotic lineage as a single-copy gene, which stresses the pre-LECA origin of both TAFs. Metazoan paralogs subfunctionalized to localize to either TFIID or SAGA. No domain innovations have been found for either protein. Duplications of TAF7 and TAF9 were specific for later animal branching events (TAF7 in mammalia and TAF9 in

placentalia), but none of them is linked to SAGA-specific subfunctionalization. Together with the Old World monkey appearance of TAF1L, these duplications are indicative of animal-specific TFIID subfunctionalization events, which may be linked in part to mammalian reproduction. The presence of TAF5, TAF6, TAF7, TAF9, and TAF10 across the different eukaryotic supergroups implies that these subunits have a pre-LECA origin, since no specific eukaryotic origin could be identified within the early branching events.

Duplications and plant-specific variations for TAF4, Ada1, and TAF12 interaction partners

TAF4 is widespread across eukaryotes and mostly lacking in SAR (with the exception of *Oxytricha trifallax*) (Supplemental Fig. S11). Still, the TAF12 HFD partner of TAF4 is widespread in SAR (Supplemental Fig. S12), indicating that TAF4's absence is due to poor genome quality or gene prediction. TAF4 is characterized by the presence of a highly disordered N-terminal region, which is followed by an NHR1-binding (or TAFH-binding) motif in animals or an RST-binding motif in plants and an HFD (Gangloff et al. 2001b). The RST motif is found within RCD1, SRO, and TAF4 proteins and is a binding interface for multiple transcription factors (TFs) (Jaspers et al. 2010). RST is proposed to be a streptophytan invention and identified in TAF4 by sequence similarity (Jaspers et al. 2010). Indeed, the motif first appeared in the streptophyta and is not present in green algae or other archaeplastida (Fig. 5A; Supplemental Figs. S1, S11). In animals, NHR1 has a position similar to plant RST, but they do not share any homology (by HHSearch; data not shown). The NHR1 domain was acquired in the ancestor of animals, which is indicated by its presence in *N. vectensis* and absence in holozoa or amoebzoa (Fig. 5A; Supplemental Figs. S1, S11). Functional analysis of the NHR1 motif showed that it interacts with TFs and is associated with ETO (eight twenty-one) oligomerization (Wei et al. 2007). The functional similarity of RST and NHR1 motifs points toward convergent evolution of TF-binding interfaces within TAF4 and that this TFIID subunit acts as a target for tissue- and lineage-specific regulation of transcription.

TAF12 is conserved across species and consists of a single HFD (Fig. 5B). It is present as a single copy across eukaryotes, except for a gene duplication event yielding EER4 in plants. TAF12 duplication is proposed to have occurred with angiosperm (flowering plant) WGD (Jiao et al. 2011). Streptophyta such as *Physcomitrella patens*, which branches earlier than angiosperm, do not contain EER4, which confirms the time of duplication (Fig. 5B; Supplemental Figs. S1, S12). This plant-specific innovation in TAF12 mirrors the RST motif variation observed in plant TAF4.

Besides TAF4, TAF12 also interacts via its HFD with the SAGA subunit ADA1 (hTADA1 in humans) (Spedale et al. 2012), which suggests a possible evolutionary link between TAF4 and ADA1. The SAGA-Tad1 domain in ADA1 (Pfam: 12767), which includes the HFD, was used

Common evolution for TAF11, TAF13, and SPT3 proteins

TAF11 and TAF13 are TFIID-specific HFD interaction partners with a simple organization of a single HFD (Gupta et al. 2017). Notably, the SPT3 subunit of SAGA contains two HFDs in tandem. The HFD of SPT3 at the N-terminal half resembles TAF13, while the one in the C-terminal half is homologous to TAF11 (Gangloff et al. 2001b). TBP has been shown to interact with both the TAF11/TAF13 dimer and SPT3 (Eisenmann et al. 1992; Mengus et al. 1995). This raises questions about the evolutionary relationship between the three proteins. To examine this, the HFDs of SPT3 were separated in order to infer a phylogenetic tree of SPT3-N, SPT3-C, TAF11, and TAF13, which suggest a pre-LECA origin of these proteins (Supplemental Fig. S14). The tree showed two clear clusters—one containing mainly the TAF13 and SPT3-N HFDs, while the other contained the TAF11 and SPT3-C HFDs. Within these clusters, additional separation is also observed between the TAFs and SPT3 sequences, which stresses their subfunctionalization in TFIID and SAGA.

TAF11 and TAF13 are widespread across the entire eukaryotic tree with a few exceptions in SAR species (namely, *Albugo laibachii* and *Bigeloviella natans*), which contain a single HFD cluster with the SPT3-N HFD (Supplemental Fig. S14). Due to the HFD sequence similarity, it remains possible that these are TAF13 proteins in reality. Essentially, all opisthokonta contain SPT3, with the most notable exception of *Thecamonas trahens* (part of apusozoa), which is an early branching sister group of amoebozoa (Supplemental Fig. S1; Paps et al. 2013). In other supergroups, SPT3 is seemingly lost, with the exception of *Naegleria gruberi* (excavates), *Acanthamoeba castellanii* (amoebozoa), *Galdieria sulphuraria*, and *Cyanidioschyzon merolae* (red algae) (Supplemental Fig. S14). The differential loss of SPT3 outside of opisthokonta suggests the existence of SAGA lacking SPT3 in those organisms or sharing TAF11 and TAF13 with TFIID. This could be resolved by biochemical analysis of SAGA complexes from organisms lacking SPT3.

The TAF11/TAF13/SPT3 gene tree points toward two hypotheses for the origin of these proteins. (1) SPT3 is

the ancestral protein (Fig. 6A). This pre-LECA ancestor (aSPT3) would have duplicated, and TAF11 and TAF13 then arose as the result of a gene split. (2) TAF11 and TAF13 were the ancestral proteins (Fig. 6B), both of which were duplicated before fusing into SPT3 in a pre-LECA genome. Irrespective of the exact scenario, the duplication allowed subfunctionalization toward either SAGA (SPT3) or TFIID (TAF11 and TAF13), while the ancestor was likely functional in both complexes. The aSPT3 hypothesis describes the more evolutionarily simple process, which requires only two events (duplication followed by fission). In contrast, the TAF11/TAF13 hypothesis requires two independent duplications followed by a specific fusion between SPT3-N and SPT3-C.

In summary, the analysis of the TAF11, TAF13, and SPT3 orthologous groups revealed their common ancestry and pre-LECA roots. Our results reveal that duplication and subfunctionalization differentiated the proteins in TFIID- and SAGA-specific subunits.

Discussion

This work reconstructs the evolutionary history of TAF subunits forming the basal transcription complex TFIID, which is central to all Pol II transcription. A common theme emerging is a pre-LECA origin for all TFIID subunits, with the later duplications resulting in TAF3, TAF4B, TAF4x, TAF7L, TAF9B, and TAF1L. Most likely, an almost complete—as compared with human TFIID—complex existed in pre-LECA ancestors (Fig. 7). Our analysis of the eukaryotic lineage revealed that most of the TAF duplication events occurred predominantly in opisthokonta branches. Large expansions of TF and cofactor families in metazoan evolution have been suggested to support increased morphological and genome complexity (Cheatle Jarvela and Hinman 2015). The observations with TFIID match well with a versatile transcriptional regulation in opisthokonta. The only other clade in which TFIID is duplicating, albeit it to a lesser extent, is plants. Other examples of evolutionary expansions in major cellular complexes are observed in ribosomes, spliceosomes, and proteasomes (Vosseberg and Snel 2017).

Figure 6. Inferred evolutionary history of TAF11/TAF13/SPT3. (A) SPT3 is the ancestral protein that gave rise to TAF11 and TAF13 through a duplication followed by a gene fission. (B) TAF11 and TAF13 are ancestral and gave rise to SPT3 through independent duplications followed by gene fusion. WGD events are shown in blue arrows.

orthologous trees provide a framework for evolutionary reconstruction of the structural changes underlying TAF subfunctionalization through paleostructural biology. From a broader perspective, it is clear that the analysis of TFIID evolution exemplifies how phylogenetic protein interrogation aids in uncovering existing structures, drawing parallels between related complexes, and challenges offered by genome expansions can be countered by exploiting chromatin modifications.

Materials and methods

Phylogenetic analysis of the TFIID complex members

Species and genome selection To reconstruct the evolution of the TFIID subunits across the eukaryotic tree of life, a selected reference set of species was chosen such that it was large enough to reliably reconstruct TFIID subunit dynamics across the eukaryotic tree of life but small enough for manual curation and inspection of protein phylogenies (Supplemental Table S1). Predicted proteomes for these species were downloaded from diverse sources (Supplemental Table S1), and protein identifiers were changed to allow manual annotation of duplications and losses in the protein trees. For a subset of TFIID subunits, the addition of specific proteins from phylogenetically informative species was essential to accurately time the duplications and losses. These protein-specific additions included primates and placental mammals for TAF1, nontetrapod vertebrates for TAF4, streptophytes for TAF12, and early branching mammals for TAF7 as well as TAF9.

Sequence analysis and alignment Protein domains were identified using Pfam version 29.0 (Finn et al. 2016) or CDD (Marchler-Bauer et al. 2015) or were based on literature-proposed domains (Supplemental Fig. S15). Orthologous groups for each TAF were acquired using Pfam's gathering cutoffs or manual curation when new HMMER models were made. Sequences were aligned using MAFFT version 7.294 einsl or linsi based on the domain organization of the proteins (Katoh and Standley 2013). linsi was used mostly for orthologous groups where a single domain or excised domains were aligned, while einsl was used for groups with complex domain organizations. Alignments were visualized using Jalview (Waterhouse et al. 2009). After manual inspection, alignments were curated with the trim option automated if the alignment contained few gaps or gappyout if the alignment was patchy (Capella-Gutierrez et al. 2009). Curated alignments of selected species were visualized using ESPript 3.0, and conserved residues at >70% threshold were marked.

Phylogenetic reconstruction and annotation Phylogenetic trees were reconstructed with default Phyml version 3.0 settings (LG model of evolution) (Lefort et al. 2017) using the curated alignments (Supplemental Fig. S15). Visualization was done in interactive Tree Of Life (iTOL) (Letunic and Bork 2007). A custom Python script was developed to provide a file for iTOL to color the sequences according to which eukaryotic supergroup the species belong and where the proteins came from (Burki 2014). A second custom python script was developed to provide a file for iTOL to delineate and color domain organization of each protein, as inferred from Pfam searches as described above. The resulting phylogenetic trees were reconciled with the species tree using phylogenetic as well as domain considerations to infer timing of gene duplications and losses. The results of these reconciliations are shown in Figures 2–5 and Supplemental Figures S2–S7 and S9–S14.

Data availability

The results from all intermediate steps as well as all final trees are available at <https://bioinformatics.bio.uu.nl/snel/TFIID>. These results include custom HMMER models to search for domains, FASTA files of orthologs, selected protein domain alignments (both the FASTA files and the imagery representation), and annotated protein trees. Graphical representations of the domain and protein alignments for selected species are in Supplemental Figures S16–S32.

Glossary

Note: With recent advances in phylogenetics, the classical taxonomy of the eukaryotic tree of life has undergone extensive revisions. As a result, there is a current lack of uniform taxonomic nomenclature for eukaryotes. This glossary aims to familiarize the readers in general terms with the species and names used throughout the study. For further reading on the different classifications, we suggest several reviews (Burki 2014; Brown et al. 2018).

Acanthamoeba castellanii: genus in amoebozoa.

Actinopterygii: ray-finned fish, in which skin webs of the fins are connected by bony spines; kingdom of metazoa.

Albugo laibachii: species belonging to the supergroup of SAR (stramenopiles, alveolates, and rhizaria); pathogens of *A. thaliana*.

Alveolates: a taxonomic group of primarily single-celled eukaryotes, characterized by the presence of sacs underneath their cell membranes; forms the “A” in the eukaryotic supergroup SAR.

Amoebozoa: a taxonomic group of primarily single-celled eukaryotes, characterized by the presence of pseudopodia and movement through internal cytoplasmic flow.

Angiosperm: a large group in the kingdom of plantae, which includes flowering land plants.

Aplanochytrium kerguelense: a genus included in the eukaryotic supergroup of SAR; a common marine microorganism.

Apusozoa: or obazoa, is an early branching group in eukarya, which includes opisthokonta (also known as fungi and animals but not plants) but excludes amoebozoa.

Arabidopsis thaliana: flowering plant (plantae kingdom); a model organism commonly used in laboratory settings.

Archaeplastida: a taxonomic classification that includes viridiplantae (e.g., land plants and green algae) as well as rhodophytae (e.g., red algae).

Ascomycota: phylum in the fungal subkingdom of dikarya, which includes the commonly used yeast model organisms (e.g., *S. cerevisiae*, *K. lactis*, *N. crassa*, and *S. pombe*).

Basidiomycota: phylum in the fungal subkingdom of dikarya, which includes mushrooms.

Bigelowiella natans: flagellated species in SAR with a marine lifestyle; model organism in laboratory settings.

Blastocystis hominis: a genus belonging to the eukaryotic supergroup of SAR; contains unicellular parasites capable of infecting humans.

Blastocladiomycota: phylum in the kingdom of fungi; parasitic lifestyle; includes model organisms *Allomyces macrogynus* and *Blastocladiella emersonii*.

Callithrix jacchus: common marmoset, a New World monkey; a model organism used in laboratory settings.

Chytridiomycota: division in the kingdom of fungi, characterized by the unique (for fungi) ability to lead a motile lifestyle due to presence of posterior flagellum; a parasite among plants and amphibians.

Cyanidioschyzon merolae: unicellular extremophile adapted to sulphur-rich hot spring environments; red algae; a model

organism with minimalist cell structure, used for studying organelle and cellular organization.

Danio rerio: or zebrafish, is a ray-finned fish (skin webs of the fins are connected by bony spines) in the kingdom of metazoa; commonly used model organism in research and popular in aquarium trade.

Dikarya: subkingdom of fungi, also known as “higher fungi.”

Excavata: eukaryotic supergroup, including flagellated unicellular organisms.

Fonticula: a genus with lifestyle similar to slime mold; includes unicellular organisms capable of assembling into multicellular structures; relative of fungi.

Galdieria sulphuraria: species of red algae; a thermoacidophile, suggested to have acquired its extremophilic adaptations through rare horizontal gene transfer events from archaea and bacteria.

Hylobates leucogenys: or *Nomascus leucogenys*, white-cheeked gibbon; species of Old World monkey.

Holozoa: taxonomic group within opisthokonta that includes animals and closely related unicellular organisms but excludes fungal branches.

Klebsormidium flaccidum: a species of fresh-water filamentous green algae; kingdom of plantae.

Cluyveromyces lactis: a species of *Saccharomycetes* class (ascomycota division); part of fungi kingdom; commonly used model organism in yeast studies.

Loxodonta africana: or African savanna elephant; mammal; kingdom of metazoa.

Latimeria chalumnae: species of coelacanth (living fossil), lobe-finned fish; fins are supported on a fleshy lobe-like structure connected to the body in a way similar to tetrapod limbs; more closely related to tetrapods than to ray-finned fish, kingdom of metazoa.

LECA: last eukaryotic common ancestor; proposed and reconstructed unicellular organism with nucleus.

Mucor circinelloides: species of mucormycota division; fungi kingdom; frequently infecting farm animals.

Monodelphis domestica: (laboratory) opossum, mammal in the marsupial cohort; metazoa kingdom; model organism.

Macropus eugenii: wallaby, mammal in the marsupial cohort; metazoa kingdom; model organism.

Mammalia: all animals nursing their young with milk; metazoa kingdom.

Marsupialia: cohort of mammals, carrying their young in pouch; metazoa kingdom.

Metazoa: kingdom of animals.

Mortierellomycetes: fungal order, belongs to mucormycota phylum; fungi kingdom.

Mucormycota: a lineage in the fungal kingdom, separate from dikarya; includes common bread mold.

Mus musculus: house mouse, mammal in the order rodentia; metazoa kingdom; commonly used model organism.

Naegleria gruberi: species belonging to excavata, capable of changing from amoeba to flagellated unicellular organism with cytoskeletal structure.

Nematostella vectensis: or starlet sea anemone, a species of sea anemone; metazoa kingdom; model organism, holding position at the base of the animal tree; predatory lifestyle.

Neurospora crassa: species of ascomycota (dikarya lineage); fungal kingdom; model organism.

New World monkeys: includes families of primates, distinguished from Old World monkeys and apes in the nasal structure, among others; metazoa kingdom.

Ornithorhynchus anatinus: or platypus, is an egg-laying mammal; metazoa kingdom.

Oxytricha trifallax: species in SAR; ciliated model organism.

Old World monkey: family of primates, more closely related to hominoid lineages than New World monkeys; metazoa kingdom.

Opisthokonta: group of eukarya, which includes animal, fungal lineages, and their unicellular relatives but not plants.

Papio anubis: or olive baboon, member of Old World Monkeys; metazoa kingdom.

Phycomyces blakesleeanus: filamentous fungal species, belongs to mucormycota phylum; fungi kingdom.

Physcomitrella patens: earth moss, species in the kingdom of plantae; model organism.

Placentalia: cohort of mammals, carrying their young in womb; metazoa kingdom.

Protozoa: unicellular heterotrophic eukaryotes.

Rhizaria: taxonomic group of mostly unicellular organisms, which forms the “R” in the eukaryotic supergroup of SAR.

Saccharomyces cerevisiae: species of ascomycota (dikarya lineage); fungal kingdom; common model organism.

SAR: taxonomic supergroup of primarily single-celled eukaryotes (includes stramenopiles, alveolates, and rhizaria groups).

Sarcopterygii: a class of lobe-finned fish, including coelacanths and closely related to tetrapoda; kingdom of metazoa.

Schizosaccharomyces pombe: species of ascomycota (dikarya lineage); fungal kingdom; common model organism.

Stramenopiles: diverse group of eukaryotes, including plant pathogenic oomycetes, photosynthetic diatoms, and brown algae such as kelp; forms the S in eukaryotic supergroup SAR.

Streptophyta: a branching in the kingdom of plantae that includes land plants and green algae and excludes red algae.

Thecamonas trahens: genus of apusozoa.

Tetrapoda: includes four-limbed vertebrates; kingdom of metazoa.

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