Toward the mechanism of eIF4E-mediated ribosomal attachment to mammalian capped mRNAs

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Ribosomal attachment to mammalian capped mRNAs is achieved through the cap–eukaryotic initiation factor 4E (eIF4E)–eIF4G–eIF3–40S chain of interactions, but the mechanism by which mRNA enters the mRNA-binding channel of the 40S subunit remains unknown. To investigate this process, we recapitulated initiation on capped mRNAs *in vitro* using a reconstituted translation system. Formation of initiation complexes at 5'-terminal AUGs was stimulated by the eIF4E–cap interaction and followed “the first AUG” rule, indicating that it did not occur by backward scanning. Initiation complexes formed even at the very 5' end of mRNA, implying that Met-tRNA_i^{Met} inspects mRNA from the first nucleotide and that initiation does not have a “blind spot.” In assembled initiation complexes, the cap was no longer associated with eIF4E. Omission of eIF4A or disruption of eIF4E–eIF4G–eIF3 interactions converted eIF4E into a specific inhibitor of initiation on capped mRNAs. Taken together, these results are consistent with the model in which eIF4E–eIF4G–eIF3–40S interactions place eIF4E at the leading edge of the 40S subunit, and mRNA is threaded into the mRNA-binding channel such that Met-tRNA_i^{Met} can inspect it from the first nucleotide. Before entering, eIF4E likely dissociates from the cap to overcome steric hindrance. We also found that the m⁷G cap specifically interacts with eIF3L.

[*Keywords:* eukaryotic translation initiation; eIF4E; eIF4F; m⁷G; eIF3L; 40S ribosomal subunit]

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Translation initiation on the majority of mammalian cellular mRNAs occurs by the scanning mechanism (Jackson et al. 2010). First, eukaryotic initiation factor 2 (eIF2), GTP, and Met-tRNA_i^{Met} form a ternary complex (eIF2-TC), which, with the multisubunit eIF3 and monomeric eIF1 and eIF1A, binds to the 40S ribosomal subunit, yielding a 43S preinitiation complex. Attachment of the 43S complex to capped mRNA is mediated by eIF4A, eIF4B, and eIF4F. eIF4F comprises the cap-binding protein eIF4E, the DEAD-box RNA helicase eIF4A (which also exists in a free form), and the eIF4G scaffold, which interacts with the other two subunits as well as eIF3. eIF4A's helicase activity is stimulated by both eIF4G and eIF4B. Group 4 eIFs cooperatively unwind the cap-proximal region of mRNA, preparing it for attachment of 43S complexes, which is promoted by the eIF4G–eIF3 interaction. After attachment, 43S complexes scan to the first AUG codon in a favorable nucleotide context, where they form 48S initiation complexes with established codon–anti-codon base-pairing. Group 4 eIFs also assist 43S complexes during scanning. Scanning on mRNAs with highly structured 5' untranslated regions (UTRs) additionally re-

quires DHX29, a DExH-box protein that interacts directly with 40S subunits. eIF1, in cooperation with eIF1A, ensures the fidelity of initiation codon selection, discriminating against initiation at non-AUG codons and AUGs that are too close to the 5' end of mRNA or have poor nucleotide context. Finally, eIF5 and eIF5B mediate joining of 48S complexes with 60S ribosomal subunits to form elongation-competent 80S ribosomes.

Thus, attachment of 43S complexes to eukaryotic capped mRNAs is achieved through the cap–eIF4E–eIF4G–eIF3–40S chain of interactions. The minimal cap (cap0) consists of N7-methylguanosine linked to the first nucleotide by a 5'–5' triphosphate (ppp) bridge. In higher eukaryotes, cap0 is modified by the 2'-O methylation of the next two riboses, yielding “cap1” and “cap2,” respectively. In the eIF4E/cap complex, the guanine base is stacked between two tryptophans on the concave surface of the factor (for review, see von der Haar et al. 2004). N7 methylation results in delocalization of the positive charge on the base in its cationic form, which enhances

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the interactions with the π electrons of the stacked aromatic rings (Quijcho et al. 2000), accounting for the increase of two to three orders in the affinity of binding to the N7-methylated cap over its unmethylated counterpart (Niedzwiecka et al. 2002). The interaction of eIF4E with the guanine base is further stabilized by its contacts with the ppp bridge. An additional contact involves interaction of the nucleotide adjacent to the cap with the flexible C-terminal loop of eIF4E, which results in stabilization of the latter (Tomoo et al. 2002). 2'-O methylation of the ribose is not required for the eIF4E-cap interaction but protects cellular capped mRNAs from sequestration by IFIT1 (interferon-induced protein with tetratricopeptide repeats), which interacts specifically with "cap0" mRNAs (e.g., see Kumar et al. 2014).

In ribosomal complexes, mRNA resides in the narrow channel between the head and the body of the 40S subunit. In addition to the 40S subunit itself, the channel is further constricted by eIF1, eIF1A, Met-tRNA^{Met}, and eIF2's α subunit, which interacts with the -2 and -3 positions in the mRNA (Pisarev et al. 2006; Hashem et al. 2013; Hussain et al. 2014; Llcer et al. 2015). The mechanism by which eIF4E-bound capped mRNA enters the mRNA-binding channel of the 40S subunit during initial attachment of 43S complexes remains totally unknown. Because of steric hindrance, it is difficult to imagine that cap-bound eIF4E can be threaded through the channel. Therefore, depending on the position of eIF4E in ribosomal complexes and the dynamics of the eIF4E/cap interaction during the initiation process, two principal scenarios can be envisioned (Fig. 1). If eIF4E resides at the trailing edge of the 43S complex (at the E-site side of the 40S subunit) (Fig. 1A), 40S subunits would attach to a 5'-proximal but nevertheless internal region of mRNA (due to steric hindrance caused by eIF4E), which would "slot" directly into the mRNA-binding channel. In this case, initiator tRNA would not be able to inspect several

5'-terminal nucleotides whose number would depend on the distance between eIF4E and the ribosomal P site. If eIF4E's position is fixed, the eIF4E/cap interaction must eventually be broken to accommodate mRNA in the E-site side of the mRNA-binding channel, whereas if it is flexible, eIF4E might be able to move to allow mRNA to accommodate in the channel without disruption of the eIF4E/cap interaction. If eIF4E is located closer to the solvent side of the 40S subunit, a substantially longer 5'-terminal region of mRNA would be "invisible" to initiator tRNA, but accommodation of mRNA in the channel would be possible without disruption of the eIF4E/cap interaction. If eIF4E is located at the leading edge of the 43S complex (at the A-site side of the 40S subunit) (Fig. 1B), mRNA would have to be "threaded" into the mRNA-binding channel, which would likely require prior disruption of the eIF4E-cap interaction to overcome steric hindrance. However, in this case, initiator tRNA would be able to inspect mRNA from the first nucleotide.

In the cap-eIF4E-eIF4G-eIF3-40S chain of interactions, eIF4G forms the main connecting link between mRNA and the 43S complex. Thus, during ribosomal attachment, eIF4G coordinates the cap-binding activity of eIF4E and the RNA helicase activity of eIF4A and couples them with 43S complexes through direct interaction with eIF3. The essential central domain of eIF4G contains binding sites for eIF4A (Imataka and Sonenberg 1997) and eIF3 (LeFebvre et al. 2006; Villa et al. 2013), whereas the binding site for eIF4E is located in the N-terminal region (Mader et al. 1995). The C-terminal domain of eIF4G, which contains the second binding site for eIF4A (Imataka and Sonenberg 1997), is not essential for eIF4G's function (Morino et al. 2000). Mammalian eIF3 comprises 13 subunits, eight (a, c, e, f, h, l, k, and m) of which contain PCI (proteasome, COP9/signalosome, and eIF3) or MPN (Mpr1-Pad1-N-terminal) domains. These subunits constitute eIF3's core, to which five peripheral subunits

Figure 1. Hypothetical models of eIF4F-mediated ribosomal attachment to capped mRNAs. (A) eIF4E is located at the E-site side of the 40S subunit, and 40S subunits attach to a 5'-proximal but nevertheless internal region of mRNA, which "slots" directly into the mRNA-binding channel. Met-tRNA^{Met} does not inspect several 5'-terminal nucleotides. (B) eIF4E is located at the A-site side of the 40S subunit, and mRNA is "threaded" into the mRNA-binding channel, which allows Met-tRNA^{Met} to inspect mRNA from the first nucleotide.

(b, d, g, i, and j) are flexibly linked. The cryo-electron microscopy (cryo-EM) structure of eIF3 in the context of the 43S complex revealed that the PCI/MPN core binds at the solvent side of the 40S subunit opposite the platform, and the eIF3b–eIF3i–eIF3g module resides at the mRNA entrance, whereas eIF3d is located near the mRNA exit (des Georges et al. 2015). eIF4G interacts with eIF3e via one region (LeFebvre et al. 2006) and with the eIF3c and eIF3d subunits through an adjacent site (Villa et al. 2013). Although the cryo-EM structure allowed the ribosomal position of eIF4G-binding subunits of eIF3 to be located, the ribosomal orientation of eIF4F and the position of eIF4E could not be proposed with even a reasonable degree of certainty.

Therefore, to investigate the mechanism of eIF4F-mediated attachment of 43S preinitiation complexes to capped mRNAs, we used a functional approach based on recapitulation of this process in vitro using a reconstituted mammalian translation system.

Results

To investigate the mechanism of eIF4F-mediated ribosomal attachment to capped mRNAs, several eIF4F components and derivatives thereof were generated (Fig. 2A). The native eIF4E/eIF4G complex was obtained by gel filtration of eIF4F in buffer containing 1 M KCl. Recombinant full-length eIF4G was expressed using a coding sequence that had been optimized to ensure correct cotranslational folding in *Escherichia coli*. The recombinant eIF4E/eIF4G complex was generated by coexpressing full-length eIF4G and eIF4E in *E. coli*. The recombinant eIF4E/eIF4G $_{\Delta 1015-1104}$ complex containing eIF4G that lacked the eIF3-binding site was obtained by coexpressing eIF4G $_{\Delta 1015-1104}$ and eIF4E in *E. coli*. Mutant eIF4F (eIF4G $_{\Delta 1015-1104}$) was reconstituted from recombinant eIF4G $_{\Delta 1015-1104}$ /eIF4E and eIF4A. The activities of native and recombinant eIF4G/eIF4E were identical in all experiments described below.

During eIF4F-mediated initiation, initiator tRNA inspects capped mRNAs from the first nucleotide

To determine whether initiation on capped mRNAs has a “blind spot,” 48S complex formation was assayed on derivatives of β -globin mRNA containing additional 5'-terminal AUGs at different positions from the 5' end (Fig. 2B). 48S complexes were assembled in vitro from individual 40S subunits, Met-tRNA $^{\text{Met}}$, and eIFs. The ribosomal position was determined by toeprinting. Formation of 48S complexes at the β -globin AUG was done in the presence of all eIFs, whereas for assembly of initiation complexes at the 5'-terminal codons, eIF1 was excluded. To confirm that initiation on these mRNAs depends on the eIF4E/cap interaction, we compared 48S complex formation on capped and uncapped mRNAs at different concentrations of eIF4F. Efficient 48S complex formation at the β -globin AUG of capped mRNAs occurred at much lower eIF4F concentrations than on uncapped mRNAs (shown for 2-nucleotide [nt] β -globin mRNA in Fig. 2C), indicating

that it was strongly stimulated by the eIF4E/cap interaction. In all further experiments, eIF4F was used at the concentration 60 nM (except where otherwise stated in the figures and figure legends), which allows efficient 48S complex formation only on capped mRNAs.

Like at the β -globin AUG (Fig. 2D, lanes 5,10,15,20), efficient eIF4F-mediated 48S complex formation at the 5'-terminal AUGs occurred only on capped mRNAs (Fig. 2D, lanes 4,9,14,19). Moreover, 48S complexes formed even at the AUG located at the very 5' end of 0-nt AUG- β -globin mRNA. However, because of the low transcription yield of this mRNA (due to the adenine at the first position), further experiments were routinely done using 2-nt AUG- β -globin mRNA. 48S complex formation at β -globin and 5'-terminal AUGs was inhibited by m 7 GTP and excess eIF4E (Fig. 2E,F) and almost did not occur in reaction mixtures containing full-length eIF4G but lacking eIF4E (Fig. 2G,H), further confirming that, in both cases, initiation involved the eIF4E/cap interaction.

However, since the possibility that mechanisms of ribosomal attachment in the presence and absence of eIF1 might differ could not be ruled out, we investigated whether initiation at the 5'-terminal AUGs could also be stimulated by the eIF4E/cap interaction in eIF1's presence. For this, reaction mixtures were supplemented with 60S subunits, eIF5, and eIF5B to permit subunit joining. Formation of stable 80S ribosomes would compete with dissociation of 48S complexes by eIF1, allowing initiation at the 5' end (Pestova and Kolupaeva 2002; Pestova et al. 2008). In these conditions, ribosomal complexes did form at the 5'-terminal AUG but, again, only on capped mRNA (Fig. 2I, cf. lanes 4 and 8). Thus, initiation at the 5'-terminal AUGs was stimulated by the eIF4E/cap interaction irrespective of the presence of eIF1. To verify that eIF4F-mediated initiation at the 5'-terminal AUGs follows the “first AUG” rule, we used mRNAs containing two consecutive AUGs located 1 or 4 nt from the 5' end (Fig. 2B). In both cases, 48S complexes formed only at the first codon, and initiation was much more efficient when it was mediated by eIF4F than by eIF4G/eIF4A (Fig. 2J), confirming that eIF4F-mediated initiation at 5'-terminal AUGs did not occur by backward scanning.

In conclusion, eIF4F-mediated initiation on capped mRNAs does not have a “blind spot,” and initiator tRNA inspects mRNA from the first nucleotide, enabling 48S complexes to form at the very 5' end of mRNA.

The role of the eIF4E–eIF4Gs•eIF4A–eIF3 chain of interactions in initiation on capped mRNAs

Next, we investigated how the breaking of any link in the eIF4E–eIF4G•eIF4A–eIF3 chain of interactions would affect initiation on capped mRNAs. To assay the role of the eIF4E–eIF4G link, we compared the influence of eIF4E on initiation on capped and uncapped mRNAs mediated by the N-terminally truncated eIF4G $_{653-1599}$ lacking the eIF4E-binding site, which was shown to promote initiation on uncapped mRNAs (e.g., Ohlmann et al. 1996). eIF4E specifically inhibited 48S complex formation at both β -globin and 5'-terminal AUGs of 2-nt AUG- β -

globin mRNA if the mRNA was capped, and inhibition was relieved by m^7 GTP (Fig. 3A,B). Thus, when eIF4E was not coupled with 43S complexes through interaction with eIF4G, its binding to the cap had an inhibitory effect similar to that caused by association of cap0 mRNAs with IFIT1 (Kumar et al. 2014). The inhibition was stronger in the case of the 5'-terminal AUG. One of the potential reasons is the greater efficiency/rate of 48S complex formation in the presence of eIF1, which would make eIF1-mediated initiation more competitive with the excess of eIF4E, leading to a higher level of initiation on the β -globin AUG.

Next, we assayed the influence of eIF4E/eIF4G on 48S complex formation on capped mRNAs that occurred in the absence of eIF4A. For this, we used 2-nt AUG-(CAA)n-GUS mRNA comprising an unstructured 5'-UTR (consisting of CAA repeats and a 5'-terminal AUG) and the GUS coding region (Fig. 3C), on which initiation can proceed without group 4 eIFs (Pestova and Kolupaeva 2002). In such conditions, eIF4E/eIF4G specifically inhibited 48S complex formation at both AUGs if mRNA was capped (Fig. 3D,E [cf. lanes 2 and 5], F [cf. lanes 3 and 6, and lanes 10 and 13]). Thus, in the absence of eIF4A, the eIF4E/eIF4G complex also acted as a specific inhibitor of initiation on capped mRNAs.

To assay the role of the eIF4G/eIF3 link, we used mutant eIF4F containing eIF4G $_{\Delta 1015-1104}$ that lacked the eIF3-binding site. Consistent with the importance of the eIF4G/eIF3 interaction for 5' end-mediated initiation (Hinton et al. 2007), eIF4F(eIF4G $_{\Delta 1015-1104}$) did not support 48S complex formation on β -globin mRNA (Fig. 3G). However, it was able to promote initiation on the encephalomyocarditis virus internal ribosomal entry site (EMCV IRES) (Fig. 3H), for which the eIF4G–eIF3 interaction is not essential (Lomakin et al. 2000), confirming the biochemical functionality of the factor. On 2-nt AUG-(CAA)n-GUS mRNA, eIF4F(eIF4G $_{\Delta 1015-1104}$) specifically inhibited 48S complex formation when mRNA was capped (Fig. 3I, lane 7).

We also found that 48S complex formation at the 5'-terminal AUG of 2-nt AUG-(CAA)n-GUS mRNA could occur in the presence of only eIF2, eIF1, and eIF1A (Fig. 4A,B, lanes 7), which allowed us to evaluate the effect of wild-type eIF4F on initiation in the absence of the eIF3–eIF4G interaction. In these conditions, wild-type eIF4F also specifically inhibited initiation on capped 2-nt AUG-(CAA)n-GUS mRNA (Fig. 4A,B, cf. lanes 9). To determine eIF4A's contribution, we assayed its influence on inhibition of 48S complex formation by eIF4E/eIF4G. eIF4A increased inhibition in an ATP-dependent manner (Fig. 4C, cf. lanes 4 and 5, and lanes 7 and 8), likely due to stimulation of interaction of eIF4E/eIF4G with the cap, evident from increased UV cross-linking of eIF4E with the [32 P]cap-labeled model mRNA (by ~50%, on average, for the used concentrations of components) (Fig. 4D). Thus, in the absence of eIF3 or when the eIF4G/eIF3 link cannot be established, even eIF4F specifically inhibits initiation on capped mRNAs. eIF3-independent 48S complex formation was also inhibited by eIF4E alone (Fig. 4E).

The ability of eIF2, eIF1, and eIF1A to promote 48S complex formation at the 5'-terminal AUG indicates that the activity of eIF1 in maintaining the fidelity of initiation codon selection strongly depends on eIF3, and, in its absence, eIF1 can no longer discriminate against AUGs located too close to the 5' end of mRNA. As expected, such complexes were susceptible to delayed addition of eIF3 (Fig. 4F). eIF2, eIF1, and eIF1A could also promote eIF3-independent 48S complex formation at the AUG located 28 nt downstream from the 5' end of 3AUGs-(CAA)n-GUS mRNA, which was again sensitive to inhibition by eIF4F (Fig. 4G). eIF3 relieved the inhibition and yielded highly processive ribosomal complexes.

In conclusion, omission of eIF4A or disruption of any link in the eIF4E–eIF4G•eIF4A–eIF3 chain of interactions converts the eIF4E/cap association from an activator to a specific inhibitor of initiation on capped mRNAs.

Specific interaction of capped mRNAs with eIF3

Next, we investigated the dynamics of the eIF4E/cap interaction. To follow this interaction, we used the UV cross-linking technique using a [32 P]cap-labeled unstructured 56-nt-long model mRNA (m^7 Gp*ppN...). However, in the course of the experiments, we found that the cap cross-linked to not only eIF4E but also an ~62-kDa subunit of eIF3 (which could correspond to eIF3l or eIF3d), and such cross-linking was inhibited by m^7 GTP (Fig. 5A, lanes 1,2). The uncapped body-labeled mRNA, on the other hand, more efficiently cross-linked to an ~98-kDa subunit (which could correspond to eIF3c or N-terminally truncated eIF3a) (des Georges et al. 2015), and cross-linking of this subunit was not sensitive to m^7 GTP (Fig. 5A, lanes 3,4). The ~62-kDa subunit cross-linked to both cap0 and cap1 mRNAs (Fig. 5B).

To identify the cap-interacting subunit of eIF3, we used eIF3d-deficient and eIF3l/eIF3k-deficient eIF3 variants (Fig. 5C, top panel) that were obtained as by-products during purification of the native factor (see the Materials and Methods). [32 P]cap-mRNA cross-linked to eIF3d-deficient but not eIF3l/eIF3k-deficient eIF3 (Fig. 5C, bottom panel, lanes 1,2), indicating that the cap interacts with eIF3l. eIF3k and eIF3l bind to each other via a large surface and form a separate lobe ("right leg") in eIF3's five-lobed PCI/MPN core (des Georges et al. 2015). They were therefore coexpressed in *E. coli* and purified as a complex (Fig. 5D, left panel). eIF3l/eIF3k alone did not cross-link with [32 P]cap-mRNA (Fig. 5D, right panel, lane 2), but their addition to eIF3l/eIF3k-deficient eIF3 restored cross-linking (Fig. 5D, right panel, lanes 4,5). To determine the affinity of eIF3 to capped mRNAs, we used the UV cross-linking technique because it allows specific monitoring of the eIF3l/cap-mRNA interaction, which is particularly important because eIF3 contains several RNA-binding subunits. eIF3 cross-linked to the model capped mRNA with a $K_{1/2,app}$ of ~51 nM (Fig. 5E).

Next, we investigated the requirement for N7 methylation of the cap for its interaction with eIF3l. Unlike eIF4E (Niedzwiecka et al. 2002) but similarly to IFIT1 (Kumar et al. 2014), eIF3l interacted efficiently with the

Figure 3. The influence of the eIF4E/cap interaction on 48S complex formation in conditions when eIF4E/4G or eIF4G–eIF3 links cannot be established. (A,B,G) 48S complex formation on capped or uncapped 2-nt AUG- β -globin mRNA in the presence of 40S subunits, Met-tRNA_i^{Met}, and the indicated eIFs, assayed by toeprinting. Some reaction mixtures contained m⁷GTP or IFIT1 as competitors. (C) The structure of 2-nt AUG-(CAA)_n-GUS mRNA. (D–F,I) 48S complex formation on capped or uncapped 2-nt AUG-(CAA)_n-GUS mRNA in the presence of 40S subunits, Met-tRNA_i^{Met}, and the indicated eIFs, assayed by toeprinting. (H) 48S complex formation on the encephalomyocarditis virus internal ribosomal entry site (EMCV IRES) in the presence of 40S subunits, Met-tRNA_i^{Met}, and the indicated eIFs, assayed by toeprinting. The positions of AUG codons, full-length cDNAs (F.L.), reverse transcriptase stops caused by IFIT1 binding (+7 nt), and toeprints corresponding to 48S complexes are indicated.

Figure 4. The influence of the eIF4E/cap interaction on 48S complex formation in the absence of eIF3. (A–C,E–G) 48S complex formation on 2-nt AUG-(CAA)_n-GUS (A–C,E,F) and 3AUGs-(CAA)_n-GUS (G) mRNAs in the presence of 40S subunits, Met-tRNA^{Met}, and the indicated eIFs, assayed by toeprinting. The positions of AUG codons, full-length cDNAs (F.I.), and toeprints corresponding to 48S complexes are indicated. (D) UV cross-linking of eIF4E/eIF4G to [³²P]cap-GAUGG-(CAA)₁₇ mRNA, depending on the presence of eIF4A, ATP, AMP-PNP, and ADP. The position of cross-linked eIF4E is indicated.

unmethylated cap (Fig. 5F). It could also cross-link with GTP (Fig. 5G) but not with a guanine residue when it was the first nucleotide of mRNA starting with a 5'-terminal ppp (ppp-G...) (Fig. 5H). Cross-linking of eIF31 with N7-methylated capped mRNA was strongly inhibited by 0.1 mM m⁷GTP or m⁷GpppA but less so by GTP or GDP (Fig. 5I). In titration experiments, a similar degree

of inhibition required substantially higher concentrations of GTP and GpppG than of m⁷GTP and m⁷GpppG (Fig. 5J, K). Thus, although N7 methylation was not essential, it contributed to the affinity of interaction. m⁷G did not inhibit cross-linking even at the concentration of 0.5 mM (Fig. 5L), suggesting that binding also involves interaction with the ppp bridge.

eIF4F competed efficiently with eIF3l for binding to capped mRNA (Fig. 5M). We also did not observe a significant difference in eIF4F-mediated 48S complex formation on 2-nt β -globin mRNA when it was mediated by intact or eIF3l/eIF3k-deficient eIF3 (Fig. 5N). However, it cannot be excluded that mRNAs have different affinities to eIF3l and that the eIF3-cap interaction could play a regulatory role in the translation of specific mRNAs possessing higher affinity to eIF3.

eIF4E/cap interaction in assembled 48S complexes

To determine whether the cap remains associated with eIF4E in 48S complexes, we first assayed complexes formed at the 5'-terminal AUG in the absence of eIF1. For this, we used a [32 P]cap-labeled 56-nt-long unstructured mRNA containing an AUG located 1 nt down-

stream from the 5' end (Fig. 6A, top panel). Sequestration of mRNA into 48S complexes almost abrogated eIF4E/cap cross-linking (Fig. 6A, cf. lanes 2 and 3–5), indicating that the cap was no longer associated with eIF4E. Addition of eIF1 modestly enhanced cross-linking of eIF4E and strongly increased cross-linking of ~62-kDa and ~98-kDa subunits of eIF3 (Fig. 6A, lanes 6–7). In the presence of eIF1, mRNA enters the 40S subunit, but Met-tRNA^{Met} is not able to base-pair with the 5'-terminal AUG, and the position of mRNA in the mRNA-binding channel is therefore not fixed. In these circumstances, cross-linking of the cap would be determined by the position of mRNA in the most stable complexes that could form in the absence of codon-anti-codon base-pairing. Based on the pattern of mRNA cross-linking in 48S complexes (Pisarev et al. 2008), the ~62-kDa and ~98-kDa cross-linked proteins most likely corresponded to eIF3d and eIF3a/eIF3c,

Figure 6. Interaction of eIF4E with the cap in 48S complexes. (A–D) UV cross-linking of [32 P]cap-GAUGG-(CAA)₁₇ (A,B) and [32 P]cap-G(AAC)₉-AUGG-(CAA)₉ (C,D) mRNAs with translational components in reaction mixtures containing 40S subunits, Met-tRNA^{Met}, and eIFs, as indicated. The identities of cross-linked proteins are shown at the right. (E) Time course of 48S complex formation on 2-nt AUG- β -globin mRNA depending on the concentration of eIF4F. Reaction mixtures contained 40S subunits, Met-tRNA^{Met}, and eIFs, as indicated. The positions of AUG codons, full-length cDNAs (F.L.), and toeprints corresponding to 48S complexes are shown.

respectively, indicating that, in ribosomal complexes formed in the presence of eIF1, the 5' end of mRNA had exited the mRNA-binding channel. The same pattern of cross-linking was observed in the absence of eIF2-TC (Fig. 6B, lanes 5,6). We note that, in similar conditions, ssRNA, eIF3, and a 40S subunit could form a stable ternary complex in which RNA is accommodated in the channel (Kolupaeva et al. 2005).

When AUG was located 28 nt downstream from the 5' end (Fig. 6C, top panel), sequestration of mRNA into 48S complexes also substantially reduced eIF4E/cap cross-linking (Fig. 6C, cf. lanes 4 and 1–3). In this case, the strongest cross-link occurred with the ~98-kDa protein corresponding to eIF3c/eIFΔ3a, which is consistent with cross-linking of mRNA at a similar “–26” position (Pisarev et al. 2008). The analogous cross-linking pattern was observed in 48S complexes assembled in the absence of eIF4F (Fig. 6D, lane 6). These results are also consistent with dissociation of the eIF4E/cap interaction in 48S complexes. In addition, they indicate that the length of mRNA that emerges from the 40S subunit in 48S complexes assembled at the AUG located 28 nt from the 5' end is not sufficient for the cap to bind eIF4E *de novo*.

We next investigated whether eIF4F can entirely dissociate from the 48S complex and mediate multiple initiation cycles. On capped 2-nt β-globin mRNA, 8 nM eIF4F promoted nearly quantitative 48S complex formation on ~35 nM mRNA, indicating that eIF4F had participated in four rounds of initiation (Fig. 6E). The kinetics of 48S complex formation in the presence of 8 and 80 nM eIF4F was similar, suggesting that the limiting step was formation of 43S complexes rather than their attachment to mRNA and scanning. However, regarding the slow rate of 48S complex formation, it is possible that eIF4F was not actively “released” from assembled 48S complexes but instead dissociated spontaneously and that functional “release” of eIF4F would in fact require ribosomal subunit joining.

eIF4F-mediated 48S complex formation on uncapped mRNAs

The results of our experiments suggest that, during eIF4F-mediated ribosomal attachment to capped mRNAs, eIF4E has to be correctly positioned by the eIF4E–eIF4G–eIF3–40S chain of interactions but, at some stage, would likely have to disengage from the cap to prevent steric hindrance during accommodation of mRNA in the mRNA-binding channel. If the placement of eIF4E facilitates entry of capped mRNA into the channel, uncapped mRNAs might by default follow the same “eIF4E-through” path during eIF4F-mediated initiation. To investigate this possibility, we assayed the influence of m⁷GTP on initiation on uncapped 2-nt β-globin mRNA. Strikingly, m⁷GTP nearly abrogated 48S complex formation at the β-globin AUG when the process was mediated by eIF4F but not eIF4A/eIF4G_{653–1599}, and this effect did not depend on the identity of the first mRNA nucleotide (Fig. 7A). m⁷GTP also inhibited eIF4F-mediated 48S complex formation at the 5'-terminal AUG (Fig. 7B, lane 3). In control experiments,

initiation on both AUGs was not inhibited by eIF4E (Fig. 7B, lanes 4–7, 10–13). eIF4F-mediated 48S complex formation on uncapped 2-nt β-globin mRNA was also inhibited by m⁷GpppA but not m⁷G (Fig. 7C).

Inhibition of 48S complex formation by m⁷GTP was not due to reduction of eIF4F's helicase activity because the same degree of unwinding of an RNA duplex comprising an overhanging 25-nt-long 5' end and a 13-nt-long double-stranded region ($\Delta G = -21$ kcal/mol) occurred in the presence and absence of m⁷GTP (Fig. 7D). Consistently, m⁷GTP did not inhibit eIF4F-mediated 48S complex formation on the EMCV IRES, on which ribosomal attachment occurs independently of the 5' end (Fig. 7E).

We next investigated the influence of m⁷GTP and m⁷GpppG on translation of uncapped mRNAs in rabbit reticulocyte lysate (RRL). Both m⁷GTP and m⁷GpppG inhibited translation of β-globin and luciferase mRNAs, with m⁷GpppG having the bigger effect (Fig. 7F,G, left panels). However, inhibition was lower than in the *in vitro* reconstituted system. To determine whether incomplete inhibition was due to limiting amounts of active eIF4E, in which case some initiation events would be mediated by eIF4G/eIF4A, RRL was supplemented with eIF4E. eIF4E strongly increased inhibition by cap analogs (Fig. 7F,G, right panels). As expected, translation driven by the EMCV IRES was not sensitive to m⁷GTP or m⁷GpppG (Fig. 7H).

Discussion

The mechanism of eIF4F-mediated ribosomal attachment to cellular capped mRNAs is one of the principal open questions in eukaryotic translation. The defining difference between all possible mechanisms is the way in which eIF4E-bound mRNA initially enters the mRNA-binding channel: by directly “slotting” into it over a certain length that includes the P site or progressively “threading” through the mRNA entrance (Fig. 1). In the first case, eIF4E would be located on the trailing side of the 40S subunit, whereas in the second, it would be on the leading side. In an interesting model for the organization of the scanning complex based on the first mechanism (Marintchev et al. 2009), the cap-binding complex has limited processivity and eventually dissociates from the leading end of the mRNA but remains associated with mRNA via the eIF4E/cap interaction, leaving the 5'-proximal region ready for ribosomal attachment. After attachment, eIF4E would be on the trailing E-site side of the 40S subunit, whereas eIF4A would act at its leading edge, unwinding mRNA before it enters the mRNA-binding channel. The model in which eIF4E is located near the E site was initially supported by EM studies that indicated that eIF4G binds to the left arm of eIF3 and cryo-EM studies that localized this element of eIF3 in proximity to the E site (Siridechadilok et al. 2005). However, a recent substantial revision of eIF3's orientation on the 40S subunit places eIF3c and eIF3e, the eIF4G-binding subunits, near the solvent side of the 40S subunit, below the platform (Hashem et al. 2013; des Georges et al. 2015). The “slotting” mechanism, with the eIF4E-bound cap being at the trailing edge of the 40S subunit, would imply a “blind”

in 43S complexes, ribosomal attachment may occur within a defined window rather than at a specific distance from the 5' end.

However, we found that, during eIF4F-mediated initiation on capped mRNAs, Met-tRNA^{Met} inspected mRNA from the first nucleotide, allowing 48S complex formation at the very 5' end of mRNA. Moreover, initiation at the 5'-terminal AUGs followed "the first AUG" rule, indicating that it did not occur by backward scanning (Skabkin et al. 2013; Abaeva et al. 2016). Thus, eIF4F-mediated initiation at the 5' termini of capped mRNAs showed all of the characteristics of the canonical 5' end-dependent scanning mechanism. Similar results have been reported for initiation in the primitive eukaryote *Giardia lamblia*: Its mRNAs have 0- to 12-nt-long capped 5' UTRs; initiation follows the "first AUG" rule, implying that initiation codon selection involves scanning; and initiation on a model 1-nt-long 5' UTR was cap/eIF4E-dependent (Li and Wang 2004). Translation in vivo of TISU mRNA containing a 5-nt-long 5' UTR has also been reported to be cap-dependent even though, surprisingly, it was independent of eIF4A (Elfakess et al. 2011). It is difficult to imagine that eIF4E could bind at the E-site side of the mRNA-binding channel close enough to the P site to be able to deposit the 5' end of mRNA directly into it, particularly in light of the ribosomal location of eIF2 α and its direct interactions with mRNA at the -2/-3 positions (Pisarev et al. 2006; Hussain et al. 2014). It is therefore more logical to assume that eIF4E is located on the A-site side and that mRNA is threaded into the mRNA-binding channel through its entrance.

This scenario is further supported by the observation that cap analogs inhibited eIF4F-mediated initiation at the 5'-terminal AUGs of uncapped mRNAs. Thus, if eIF4E is located on the E-site side, the mRNA would not even reach eIF4E, whereas if it resides on the A-site side and uncapped mRNAs enter the mRNA-binding channel also through eIF4E, cap analogs would block initiation even at the 5'-terminal AUGs. However, this argument should be applied cautiously. Thus, although inhibition of initiation on uncapped mRNAs by cap analogs in cell-free extracts has been observed (Bergman and Lodish 1979; Fletcher et al. 1990), other reports indicated that they had little effect on translation of uncapped mRNAs (Canaani et al. 1976) or even enhanced it (De Gregorio et al. 1998). These differences have been ascribed to the variable presence of capped fragments of endogenous mRNAs in different nuclease-treated lysates and the strong [K⁺] dependence of the susceptibility to cap analog inhibition. According to our data, the difference could also arise from variations in amounts of active eIF4E and eIF4E-unbound eIF4G. A further complicating factor is that some cap analogs have a nonspecific inhibitory effect on RNA-protein interactions (Sonenberg and Shatkin 1978).

The ribosomal position of the eIF4G-binding subunits of eIF3 (des Georges et al. 2015) and the sites of directed cleavage in the expansion segment ES6^s of 18S rRNA from the 43S-bound middle domain of eIF4G (Yu et al. 2011) are not incompatible with the suggestion that eIF4F could reside on the solvent side of the 43S complex between the PCI/MPN core and the eIF3b/eIF3i/eIF3g module, with eIF4E reaching the area around the entrance of the mRNA-binding channel. However, it is more diffi-

cult to reconcile our model with the finding that a region of eIF4G on the C-terminal side of the eIF4E-binding domain interacts with eIF5 (He et al. 2003), which has tentatively been located between eIF1 and eIF2 γ on the platform of the 40S subunit (Hussain et al. 2014). However, it is difficult to comment on this issue because the structure of full-length eIF4G is not known. It is also not clear whether this potential discrepancy is relevant to initiation in mammals because the eIF4G-eIF5 interaction has been observed only in *Saccharomyces cerevisiae*, in which eIF4G does not interact directly with eIF3.

If eIF4E is located at the A-site side, the question arises as to the exact position at which the 5' end enters the mRNA-binding channel; e.g., at the entrance to the channel, corresponding to the +15 position in mRNA, or closer to the A site (e.g., at positions +8-9), where toeprints corresponding to 48S complexes were observed (Abaeva et al. 2011)? If eIF4E were located at the mRNA entrance (position +15), it could potentially contact the eIF3b/eIF3i/eIF3g module and DHX29, which interacts with eIF3b, bridges h16 with the beak, and, via a small domain that binds in the intersubunit space just outside the A site, also bridges the body with the beak (Hashem et al. 2013). If eIF4E resides closer to the A site, it could clash with DHX29. At high concentrations, DHX29 inhibits 48S complex formation (Pisareva et al. 2008). Thus, while DHX29 is important for scanning, it might interfere with initial attachment indirectly by inducing conformational changes in ribosomal complexes or directly by clashing with eIF4E or blocking mRNA entry.

In assembled 48S complexes, the cap was no longer cross-linked to eIF4E, which indicates that, at some stage, the eIF4E/cap interaction has to be dissolved. Consistently, cross-linking of the cap and eIF4E is inefficient in cell-free extracts (Sonenberg and Shatkin 1977; Sonenberg et al. 1979a), and it was also suggested that, during initiation, the eIF4E-cap interaction is disrupted (Sonenberg et al. 1979b). According to our model, the most likely stage at which eIF4E is released from the cap is the beginning of attachment, when dissociation would be required to avoid steric hindrance as mRNA enters the mRNA-binding channel. The most obvious implication of eIF4E/cap dissociation is that the 5' end of mRNA will be able to participate immediately in the next round of initiation. Without release of the cap, the next 43S complex could not be loaded until the first had reached the initiation codon and subunit joining had released factors; i.e., "cap-tethered scanning" (Berthelot et al. 2004). These investigators argued that because initiation rates did not change as a function of 5' UTR length (up to ~1000 nt), scanning cannot be rate-limiting for initiation, and multiple 43S complexes must therefore be able to load onto long 5' UTRs.

Is there a trigger for the release of eIF4E from the cap and, if so, what is it? The interaction between eIF4E and eIF4G involves a conserved motif in the N-terminal third of eIF4G that binds to the dorsal surface of eIF4E on the exterior of the cap-binding pocket (Mader et al. 1995; Gross et al. 2003). In yeast, association of eIF4E with eIF4G induces coupled conformational changes (Gross et al.

2003), resulting in the increase of eIF4E's affinity for the cap (Gross et al. 2003). In contrast, mammalian eIF4G does not increase eIF4E's affinity for the cap (Slepenkov et al. 2008). Moreover, it has been suggested that the affinity of eIF4E for the cap is only a minor factor in the overall affinity of eIF4F for mRNA (Kaye et al. 2009). Instead, eIF4G stimulates eIF4E–cap interaction by binding to mRNA and increasing eIF4E's effective concentration in the vicinity of the cap (Yanagiya et al. 2009). The mammalian eIF4E–cap interaction has a short lifetime of ~12 msec (Slepenkov et al. 2008), and loading of mRNA into the mRNA-binding channel could therefore result from spontaneous release of the cap due to the relatively fast cycling of eIF4E between the apo- and cap-bound states. However, the possibility that the activity of eIF4A and the influence of the functional environment could contribute to triggering release of eIF4E from the cap cannot be excluded. Interestingly, it has been suggested recently that accommodation of mRNA in the mRNA-binding channel is accompanied by large conformational changes based on the observed relocation of the yeast eIF3b/eIF3i/eIF3g module from the solvent side of the 40S subunit in 43S complexes to the intersubunit side in 48S complexes (Llácer et al. 2015). If eIF4E has to be actively released from the cap by the concerted action of ribosome-associated eIF4A, eIF4G, and eIF3, this could explain why disruption of any link in the eIF4E–eIF4G–eIF4A–eIF3 chain of interactions converts eIF4E into a specific inhibitor of initiation on capped mRNAs.

Another implication of this model concerns the mechanism of action of group 4 eIFs during scanning. If these factors are located at the leading edge of the 40S subunit, they would most likely unwind mRNA before it enters the mRNA-binding channel. Although eIF4A for a long time was considered to be a weak helicase, recent studies have shown that, cooperatively, group 4 eIFs can unwind quite stable RNA duplexes (García-García et al. 2015). However, if eIF4A/4B/4G act at the leading edge, then what is the function of DHX29, which binds directly along the 3' portion of mRNA-binding channel (Pisareva et al. 2008; Hashem et al. 2013)? Given its location and interactions, it is possible that DHX29 could induce oscillating conformational changes in the mRNA-binding channel, which would facilitate feeding into it of unwound mRNA before it has a chance to reanneal.

In the course of our experiments, we also found that, like in yeast (Maag et al. 2005), the mammalian translation apparatus can form 48S initiation complexes on unstructured mRNA with only the eIF2-TC, eIF1, and eIF1A. Importantly, such complexes formed even on 5'-terminal AUGs. Thus, in the absence of eIF3, eIF1 was not able to discriminate against AUGs located too close to the 5' end of mRNA. We previously noted that, in the absence of eIF3, eIF1 was also unable to discriminate efficiently against formation of 48S complexes at near-cognate codons during reinitiation on calicivirus mRNAs (Zinoviev et al. 2015). Taken together, this indicates that eIF3 additionally has a role in maintaining the fidelity of initiation codon selection and, with eIF1A, cooperates with eIF1 in this process.

Another interesting finding is the specific interaction of the cap with eIF3. Cross-linking of the cap (Sonenberg et al. 1979a) and the nucleotide at the +2 position from the cap (Lindqvist et al. 2008) with an eIF3 subunit of a similar molecular weight has been reported previously and was attributed to eIF3d (Lindqvist et al. 2008). We, however, identified the cap-interacting subunit as eIF3l. Interaction of eIF3l with the cap is consistent with the identification of eIF3l as a polypeptide that binds to the m⁷GTP-sepharose (Tcherkezian et al. 2014). eIF3l does not structurally resemble eIF4E. Instead, it contains a PCI domain that consists of N-terminal helical repeats followed by a winged helix domain (Ellisdon and Stewart 2012). Notably, IFIT1, which specifically interacts with cap0 mRNAs (e.g., see Kumar et al. 2014), also has a helical structure comprising several tetratricopeptide repeat motifs (Abbas et al. 2013). Although N7 methylation enhanced the affinity of eIF3 to capped mRNA, its effect was much less pronounced than in the case of eIF4E. In this respect, eIF3l is more similar to IFIT1, whose affinity to the methylated cap was only approximately threefold to 10-fold higher than to the unmethylated cap (Kumar et al. 2014). The observation that eIF3l binds capped mRNA only after incorporation into the eIF3 holofactor suggests that, as for other cap-binding proteins (e.g., the CBP20/CPB80 heterodimer) (Mazza et al. 2002), induced conformational changes in eIF3L are required to form the cap-binding pocket. eIF3l/eIF3k reside on the periphery of eIF3's PCI/MPN core (des Georges et al. 2015) and dissociate relatively easily (Zhou et al. 2008). eIF3l is not essential for eIF3's function (Masutani et al. 2007; Morris et al. 2007). eIF3l's cellular role remains uncharacterized, although, when overexpressed, it modestly represses yellow fever virus (YFV) replication (Schoggins et al. 2011), binds to the YFV RNA polymerase, and specifically inhibits YFV but not general cellular translation (Morais et al. 2014). We suggest that the eIF3l/cap interaction might play a regulatory role in the translation of specific mRNAs with particularly high affinity to the factor. Both inhibition (in the case of 5' end-dependent initiation) and activation (in the case of internal initiation) of translation could be envisioned. In either case, regulation would be coupled with the availability of active eIF4F. Interestingly, ribosomal profiling suggested that many mRNAs exhibit relatively modest sensitivity to chemical inhibition of mTOR, which enhances sequestration of eIF4E (Thoreen et al. 2012). Translation of at least one of these mRNAs is nevertheless sensitive to inhibition by cap analogs, suggesting that it might use an alternative mechanism of cap-dependent initiation, potentially involving binding by eIF3 (Lee et al. 2015).

In conclusion, although our data are most consistent with the model in which eIF4E is located at the leading edge of the 40S subunit and mRNA is threaded into the mRNA-binding channel through the entrance, structural determination of the position of eIF4F and, in particular, its eIF4E subunit in the eIF4F-bound 43S complex is required to establish a more conclusive model for the mechanism of eIF4F-mediated ribosomal attachment to mammalian capped mRNAs.

Materials and methods

Plasmid construction; purification of ribosomal subunits, initiation factors, and methionyl tRNA synthetase; preparation of mRNAs and tRNA^{Met}; mRNA capping; and aminoacylation of tRNA^{Met} are described in the [Supplemental Material](#), which also contains detailed protocols for all experimental procedures.

Assembly and analysis of 48S/80S initiation complexes

48S complexes were assembled on capped or uncapped derivatives of β -globin and (CAA)_n-GUS mRNAs as well as mRNA containing the EMCV IRES and analyzed by toeprinting. Briefly, mRNA was incubated for 10 min at 37°C with 40S subunits, Met-tRNA^{Met}, and various combinations of eIFs (as indicated in the figures) in buffer A (20 mM Tris at pH 7.5, 100 mM KCl, 1 mM DTT, 2.5 mM MgCl₂, 0.25 mM spermidine) supplemented with 1 mM ATP and 0.4 mM GTP in the presence or absence of IFIT1, m⁷GTP, m⁷GpppA, or m⁷G (as indicated in the figures). Formation of 48S/80S complexes was assayed by primer extension using AMV reverse transcriptase and [³²P]-labeled primers. cDNA products were resolved in a 6% acrylamide sequencing gel and visualized by autoradiography.

Helicase assay

A 13-nt-long RNA oligonucleotide was ³²P-labeled with T4 polynucleotide kinase and annealed with complementary 38-nt-long RNA oligonucleotide. RNA duplex was purified on Superdex 75, incubated with eIF4F for 40 min at 37°C in buffer B (20 mM HEPES at pH 7.5, 2 mM DTT, 5 mM MgCl₂, 5% glycerol, 2 mM ATP) in the presence of 1 mM GTP or m⁷GTP, analyzed in a 12% nondenaturing gel, and quantified by a phosphorimager.

In vitro translation

Uncapped β -globin, luciferase, and EMCV IRES-containing mRNAs were translated using the Flexi RRL system (Promega Corp.). Twenty-microliter reaction mixtures containing 0.4 μ g of mRNA and [³⁵S]methionine were incubated for 30 min at 32°C in the presence or absence of 0.5 mM GTP, m⁷GTP, or m⁷GpppG (as indicated in the figures). In some experiments, RRL was additionally supplemented with 2 μ M eIF4E and preincubated for 8 min at 32°C before addition of mRNA. Translation products were resolved by SDS-PAGE followed by phosphorimaging.

UV cross-linking

[³²P]cap-labeled mRNAs were incubated with 40S subunits, Met-tRNA^{Met}, and different combinations of eIFs (as indicated) in buffer A supplemented with 0.05 mg/mL BSA and various nucleotides (as indicated) for 10 min at 37°C. Assembled complexes were UV-irradiated at 254 nm and treated with RNases A, V1, and T1, and cross-linked proteins were analyzed by SDS-PAGE, visualized by autoradiography, and quantified by a phosphorimager.

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