Positive cross-regulation and enhancer sharing: two mechanisms for specifying overlapping *Hox* expression patterns

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Vertebrate *Hox* genes display nested and overlapping patterns of expression. During mouse hindbrain development, *Hoxb3* and *Hoxb4* share an expression domain caudal to the boundary between rhombomeres 6 and 7. Transgenic analysis reveals that an enhancer (CR3) is shared between both genes and specifies this domain of overlap. Both the position of CR3 within the complex and its sequence are conserved from fish to mammals, suggesting it has a common role in regulating the vertebrate *HoxB* complex. CR3 mediates transcriptional activation by multiple *Hox* genes, including *Hoxb4*, *Hoxd4*, and *Hoxb5* but not *Hoxb1*. It also functions as a selective HOX response element in *Drosophila*, where activation depends on *Deformed*, *Sex combs reduced*, and *Antennapedia* but not *labial*. Taken together, these data show that a *Deformed/Hoxb4* autoregulatory loop has been conserved between mouse and *Drosophila*. In addition, these studies reveal the existence of positive cross-regulation and enhancer sharing as two mechanisms for reinforcing the overlapping expression domains of vertebrate *Hox* genes. In contrast, *Drosophila Hox* genes do not appear to share enhancers and where they overlap in expression, negative cross-regulatory interactions are observed. Therefore, despite many well documented aspects of *Hox* structural and functional conservation, there are mechanistic differences in *Hox* complex regulation between arthropods and vertebrates.

[Key Words: *Hox* complex; cross-regulatory interactions; enhancer sharing; *Drosophila* homeotic genes; *Hoxb4*; transgenic mice]

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Morphological differences along the anteroposterior (A-P) body axis of many animals are controlled by a conserved set of transcription factors encoded by the *Hox* gene family. All *Hox* loci are organized into complexes that display the property of colinearity, whereby the position of a gene within the complex correlates with its axial expression pattern (for review, see Duboule 1992; McGinnis and Krumlauf 1992; Krumlauf 1994; Carroll 1995). The reasons for this colinear relationship between organization and spatial expression are not clear but are believed to involve conserved *Hox* regulatory mechanisms. Although considerable emphasis has been placed on the degree of similarity between the arthropod and vertebrate *Hox* complexes, there are significant differences.

The *Drosophila melanogaster Hox* cluster is naturally divided into two complexes (ANT-C and BX-C), and experimentally the BX-C itself can be split into two, with relatively minor phenotypic consequences (Lewis 1978; Kaufman et al. 1980; Struhl 1984; Tiong et al. 1987). Furthermore, the ANT-C contains several non-*Hox* loci

and the *Deformed* (*Dfl*) gene is transcribed in a direction opposite to all other ANT-C *Hox* genes (Kaufman et al. 1990; McGinnis and Krumlauf 1992). None of the four murine *Hox* complexes are split apart or contain interposed non-*Hox* genes (Boncinelli et al. 1991; Duboule 1992; McGinnis and Krumlauf 1992). Another major difference is complex size, as *Hox* clusters are considerably more compact in mouse than in *Drosophila*. For example, the entire *Hoxa* complex is comparable in length with the *Drosophila Antennapedia* (*Antp*) gene (Scott et al. 1983; Schneuwly et al. 1986; Duboule and Dolle 1989). Therefore, it appears that the vertebrate genes have remained closer to an idealized ancestral colinear organization than their *Drosophila* counterparts (McGinnis and Krumlauf 1992; Garcia-Fernandez and Holland 1994; Carroll 1995). This suggests that there are strong evolutionary constraints keeping vertebrate *Hox* genes colinear and clustered tightly.

All vertebrate *Hox* genes are expressed in a large axial region that extends from a specific anterior boundary back toward the caudal end of the embryo. This generates a nested series of extensively overlapping domains that is not observed with all of the *Drosophila* ANT-C members. In *Drosophila*, where *Hox* overlaps do occur, this often results in the down-regulation of anterior

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genes through negative cross-regulation by loci expressed more posteriorly. For example, posterior to parasegment 4, *Ultrabithorax* (*Ubx*) and *abdominal-A* (*abd-A*) repress *Antp* and posterior to parasegment 6, *abd-A* and *Abdominal-B* (*Abd-B*) repress *Ubx* (Hafen et al. 1984; Struhl and White 1985; Carroll et al. 1986; Wirz et al. 1986; Bermingham et al. 1990; Appel and Sakonju 1993). It is not known whether a similar system operates in vertebrates and in general the contribution of cross-regulatory interactions in maintaining the A-P boundaries and characteristic nested domains of expression is not yet clear.

The vertebrate hindbrain is organized segmentally, and develops from a series of lineage-restricted units termed rhombomeres (for review, see Lumsden and Krumlauf 1996). Within this metameric plan, *Hox* genes display rhombomere-specific and nested domains of expression (for review, see Keynes and Krumlauf 1994). The high degree of spatial overlap, coupled with the relatively short intergenic distances, has led to speculation that regulatory elements might be shared by vertebrate *Hox* genes (Simeone et al. 1988; Krumlauf 1994; van der Hoeven et al. 1996). A good example of a complex and overlapping expression pattern is provided by the *Hoxb3* gene in the hindbrain (Sham et al. 1992). Here we have examined *Hoxb3* expression in detail and found that it shares a rhombomere boundary with the adjacent 5' *Hoxb4* gene. Using transgenic approaches in mouse and *Drosophila*, we have characterized a neural regulatory element (CR3) involved in mediating the *Hoxb3/Hoxb4* overlap. This work provides evidence for enhancer sharing and positive auto- and cross-regulatory interactions as mechanisms involved in specifying a domain of expression that is shared by two adjacent vertebrate *Hox* genes.

Results

A subset of Hoxb3 expression shares a segmental boundary with Hoxb4 at r6/7

Hoxb3 provides a clear example of a gene that overlaps in expression with its neighbors in the complex (Sham et al. 1992). Previous studies have shown that in the hindbrain at 9.5 days post coitum (dpc) there is an anterior expression limit that maps to the rhombomere 4/5 (r4/5) boundary (Wilkinson et al. 1989; Hunt et al. 1991). Here we have used whole-mount in situ hybridization to examine the dynamics of *Hoxb3* expression in more detail. High levels of expression in r5 and r6 were found to be an early and transient feature of the *Hoxb3* pattern with much lower levels being detectable only in these rhombomeres from 10.5 dpc onward (Fig. 1; data not shown). At 9.25 dpc, in addition to the r5 and r6 expression, a posterior region of strong expression is present in the neural tube just caudal to the otic vesicle (Fig. 1A) and by 11 dpc there is a sharp boundary of expression in the vicinity of the r6/7 junction (Fig. 1C). At this stage, rhombomere boundaries are not clearly visible, but we were able to determine, using a monoclonal antibody-

specific for HOXB4 protein, that this later *Hoxb3* boundary corresponds to that of the 5' neighboring *Hoxb4* gene (Wilkinson et al. 1989), and so maps to the r6/7 junction (Fig. 1, cf. C and D). Therefore, from 10.5 dpc onward, the anterior neural expression profiles of *Hoxb3* and *Hoxb4* overlap. However, in the posterior spinal cord, there is a graded distribution of HOXB-4, with levels progressively lower in more posterior regions, whereas *Hoxb3* remains expressed at high levels (Fig. 1E,F). This analysis demonstrates that from 9.0-11.0 dpc, the *Hoxb3* gene displays two distinct domains of expression in the hindbrain, each with a different rhombomere boundary—r4/5 and r6/7.

Differential transgene expression from Hoxb3 and Hoxb4 promoters

The overlapping domains of *Hoxb3* and *Hoxb4*, along with their proximity in the *Hoxb* complex, suggest that a common mechanism might be used to regulate both genes. We used a transgenic approach, linking a *lacZ* reporter gene to genomic fragments spanning the *Hoxb3* locus, to search for *cis*-regulatory regions capable of specifying neural expression with an r6/7 boundary. Scanning 35 kb encompassing the entire interval between the 3' end of *Hoxb4* and the proximal promoter of *Hoxb2* identified only a single 3-kb fragment with r6/7 enhancer activity (Sham et al. 1992; C. Kwan, K. Ling, S. Tsang, P. Chui, R. Krumlauf, and M. Sham, unpubl.). This control region colocalizes with an enhancer identified previously (region A) located in the intergenic region between *Hoxb3* and *Hoxb4* (Whiting et al. 1991), suggesting that region A might be involved in regulating both of these genes.

Region A is >23 kb away from the proximal *Hoxb3* P1 promoter and much closer to the *Hoxb4* promoter. However, the presence of a noncoding exon specific for a *Hoxb3* splice variant (type II) suggested that region A might contain a distal *Hoxb3* promoter (P2) (Sham et al. 1992). To directly test this possibility a reporter gene lacking a promoter was placed immediately downstream of the *Hoxb3* exon in region A (Fig. 2; construct 7) and assayed in transgenic mice. Neural *lacZ* staining with an r6/7 boundary, demonstrated clearly that this fragment does contain a distal *Hoxb3* promoter (P2).

To test whether region A was capable of regulating both genes, we constructed two transgenic variants capable of independently monitoring transcription from either the *Hoxb4* or the *Hoxb3* P2 promoters. First, the *Hoxb4* promoter was marked by a *lacZ* insertion into exon1 (Fig. 2, construct 1). This reporter strategy has no deleterious effects on the ability to reconstruct the overall expression pattern of *Hoxb4* (Whiting et al. 1991). A comparison of transgene expression with the HOXB4 protein distribution at 10.5 dpc indicated that the 9-kb *PstI-EcoRI* fragment contains all of the elements necessary to reproduce the full *Hoxb4* expression pattern with an appropriate r6/7 neural and somite 6/7 mesodermal boundary (Fig. 1, cf. F and H). *lacZ* expression in the neural tube was strong anteriorly and progressively

weaker posteriorly, a characteristic feature of the endogenous *Hoxb4* pattern.

Second, in the context of the same 9-kb *PstI*–*EcoRI* fragment, we monitored the activity of the *Hoxb3* P2 promoter. To preserve the genomic integrity around P2 and not disrupt any regulatory elements, a splice acceptor–*lacZ* cassette was inserted 3.5 kb downstream of P2 (Fig. 2, construct 2). A similar cassette has been used to faithfully report activity from the *Oct-4* promoter (Mountford et al. 1994). Neural expression from the P2 promoter displayed a sharp anterior boundary at r6/7 (Fig. 1G). Unlike expression driven from the *Hoxb4* promoter, strong staining was seen in both the posterior spinal cord and mesoderm (Fig. 1, cf. E and G). Therefore, elements within the 9-kb fragment are capable of imposing an r6/7 boundary of expression on both the *Hoxb4* and the *Hoxb3* P2 promoters. It is important to note that despite the shared anterior domain, the readout of expression from these two promoters is distinctly different in posterior regions. The uniform expression of the *Hoxb3* and the graded distribution of the *Hoxb4* transgenes in the spinal cord are significant because they mirror the patterns of the respective endogenous genes.

Conserved region 3 (CR3) is necessary and sufficient to specify an r6/7 boundary

While the 3-kb region A enhancer works on the *Hoxb4* and *Hoxb3* P2 promoters, there could be multiple r6/7 elements within this interval working independently on each promoter. Previous sequence comparisons of region A from pufferfish, chick, and mouse identified a conserved region (CR3) potentially involved in the r6/7 regulation of *Hoxb4* (Aparicio et al. 1995; Morrison et al. 1995). To investigate the function of CR3 in mouse region A, independent of the P2 promoter that it contains, we have tested the activity of various deletions on a neutral minimal β -globin promoter (Yee and Rigby 1993). The full region A (Fig. 2, construct 5) gave the expected neural pattern with a sharp anterior boundary at r6/7 and strong staining in the posterior spinal cord (Fig. 3A; Whiting et al. 1991). The deletion of CR3 from region A (Fig. 2, construct 6) specifically abolished anterior staining up to the r6/7 boundary but gave strong expression

posteriorly (Fig. 3B). This indicates that CR3 is required for an r6/7 boundary of expression and that other neural elements within region A are responsible for the more posterior staining. Furthermore, either one or two copies of CR3 specify the same anterior boundary at r6/7 (Figs. 3D and 7A, below, constructs 8 and 10). Together our results imply that CR3 is the only element within the

Figure 1. Shared boundaries and differential transgene expression from *Hoxb3* and *Hoxb4* promoters. (A,C,E) *Hoxb3* in situ hybridizations and (B,D,F) *HOXB4* immunostainings. (A,B) Dorsal views of 9.25- to 9.5-dpc hindbrain regions. (C,D) Dorsolateral views of 11 dpc embryos showing a sharp expression boundary posterior to the otic vesicle. (E,F) Lateral views of 10.5-dpc embryos. (G,H) *Hoxb4* expression and late neural *Hoxb3* expression are recapitulated in transgenic embryos. Lateral views of β -galactosidase staining in 10.5-dpc embryos that mark the activity of the *Hoxb4* (H, construct 1) and *Hoxb3* P2 (G, construct 2) promoters. Dashes indicate respective rhombomere boundaries. Solid arrowheads in E and G indicate posterior neural regions of strong staining specific for *Hoxb3*; open arrowheads in F and H denote weak posterior staining characteristic of *Hoxb4*. (Ov) Otic vesicle.

Figure 2. The *Hoxb3/Hoxb4* locus and constructs used for transgenesis in mice and *Drosophila*. E, H, N, P, S, and Sc refer to sites for *EcoRI*, *HindIII*, *NcoI*, *PstI*, *SalI*, and *SacI* present in genomic DNA. Open boxes refer to exons, solid boxes mark the position of homeodomains, and promoters are arrowed. In constructs 1–4 the position of the *lacZ* reporter or the *en-2* intron/splice acceptor/IRES/*lacZ* cassette is indicated. In constructs 8–19, the positions of HS1 and HS2 are indicated by a circle and oval, respectively. A bold X indicates the presence of HS mutations (see Fig. 6A). For mouse constructs, the presence of an r6/7 expression boundary is indicated and Tg refers to the fraction of expressing transgenic lines and transients that gave a consistent pattern. For *Drosophila* constructs, expⁿ indicates reproducible expression and Tg indicates the fraction of transformant lines that gave a consistent pattern.

9-kb *PstI*–*EcoRI* fragment capable of specifying an r6/7 boundary at 10.5 dpc.

Dependence of CR3 on group 4 Hox genes

We wanted to address the nature of the upstream components controlling CR3. *Hoxb4* protein is expressed in the neural plate as early as 8.0 dpc but CR3 is first active only at 9.25 dpc (constructs 8 and 10; data not shown). Therefore, CR3 appears to be involved in the maintenance and not the establishment of the *Hoxb4* neural domain. As it is known that *Drosophila Dfd*, an ortholog of *Hoxb4*, maintains its expression, in part, by autoregulation (Zeng et al. 1994), we tested the ability of CR3 to function as a *Hoxb4* response element (Fig. 4C–H). The endogenous *Dfd* gene can be autoactivated by a ubiqui-

tous pulse of DFD protein but only in restricted ectopic locations (Kuziora and McGinnis 1988). We developed a similar assay for CR3 transactivation, using a β -actin promoter to drive *Hoxb4* expression throughout the mouse embryo at low levels (Fig. 4C). This low widespread expression is sufficient to induce CR3 activity in ectopic patches but only within the confines of the CNS and only anterior to the r6/7 boundary. Transactivation by *Hoxb4* is particularly strong in ventrolateral regions of the midbrain (Fig. 4G). Because CR3 responds to ectopic HOXB4, we tested whether it was dependent on endogenous *Hoxb4* activity. Previously, in embryos homozygous for a targeted replacement allele of *Hoxb4*, the anterior boundary of expression in the CNS was found to be diffuse and not as sharply defined as in wild-type em-

Figure 3. CR3 is necessary and sufficient to specify an r6/7 expression boundary. (A–D) Lateral views of 10.5-dpc embryos transgenic for constructs 5–8. (E,F) Comparisons of coronal sections of hindbrains from 10.5-dpc embryos transgenic for construct 8 (E) or immunostained for HOXB4 (F), the positions of r5 and r6 are indicated. In this and all subsequent figures an open arrowhead or Ov mark the approximate position of r6/7 or the otic vesicle, respectively.

bryos (Ramirez-Solis et al. 1993). Surprisingly, in mouse embryos homozygous for this same mutation, we find that CR3 activity appears normal (data not shown). One explanation for the inconsistency between our reporter staining and the previous *in situ* expression (Ramirez-Solis et al. 1993) is that the transcriptional profile of the *Hoxb4* promoter in the replacement allele has been disrupted in *cis* by the nearby insertion of the *gpt* and *neo* transcription units.

It is known that the paralog of *Hoxb4*, *Hoxd4*, also has an anterior expression boundary at r6/7 (Hunt et al. 1991). To test the possibility that *Hoxd4* might be activating CR3, we ectopically expressed it and found that the CR3 *lacZ* reporter was also transactivated in similar regions of the CNS (Fig. 4D,H). We then looked at CR3 activity in embryos homozygous for a targeted mutation at the *Hoxd4* locus (Horan et al. 1995a). As in the *Hoxb4* mutants, CR3 activity was normal in these embryos (data not shown). However, when mutations in both *Hoxb4* and *Hoxd4* were combined, a dramatic loss of the r6/7 boundary of expression was observed in double homozygous embryos (Fig. 4B). The presence of one or more wild-type alleles at either the *Hoxb4* or *Hoxd4* loci is sufficient to restore the wild-type r6/7 expression boundary (Fig. 4A). Therefore, the anterior r6/7 expression mediated by CR3 can be specified by either gene,

illustrating an important role for auto/cross-regulatory interactions between these two paralogs.

Selective response to Hox genes

We note that in the double *Hoxb4/Hoxd4* homozygotes, CR3 expression is not abolished completely, rather the rostral limit is shifted posteriorly (Fig. 4B). This suggests that other *Hox* genes might be involved in controlling the more posterior neural expression of CR3. Therefore, we ectopically expressed a number of *Hox* genes to test their ability to transactivate the enhancer. We observed no changes in reporter expression when *Hoxb1*, *Hoxa1*, *Hoxb3*, and *Hoxb9* were used (see Materials and Methods). In contrast, ectopic *Hoxb5* did induce expression in midbrain regions in a manner similar to both *Hoxb4* and *Hoxd4* (Fig. 4F). From the seven *Hox* genes tested, we conclude that members of group 4 and 5 can transactivate CR3, whereas groups 1, 3, and 9 will not. Together, the results of the loss and gain of *Hox* function experiments indicate that CR3 functions as a target for the action of multiple *Hox* genes. The group 4 genes (*Hoxb4* and *Hoxd4*) are involved in setting the r6/7 boundary of CR3, whereas more 5' genes, such as *Hoxb5*, contribute to the activation of CR3 in more caudal regions of the CNS.

Activation by Dfd, Scr, and Antp in Drosophila

To further investigate the idea that CR3 mediates a read-out that discriminates between different *Hox* genes, we have used the genetic advantages of the *Drosophila* system. Given that the HOX proteins themselves are so conserved, we wanted to test whether CR3 would direct spatially restricted expression dependent on *Drosophila* *Hox* genes. Transformant fly lines carrying CR3 linked to a minimal promoter-*lacZ* construct were generated and analyzed for expression using an anti-β-galactosidase antibody (constructs 16 and 18). From stage 12 onward, embryos carrying such constructs displayed a consistent ventral pattern of *lacZ* expression, restricted to groups of cells in the posterior maxillary and anterior thoracic (T1–3) segments (Fig. 5I). Staining was most intense in the maxillary domain and weaker in anterior T1, T2, and T3. Confocal double label experiments with anti-β-galactosidase and anti-DFD antibodies, indicated that the maxillary expression forms a subset of the normal *Dfd* expression domain (Fig. 5A–C). In a gain-of-function approach, similar to the transactivation assay used in the mouse, we tested the ability of CR3 to be activated ectopically by DFD when expressed from an inducible heat shock promoter (*hs-Dfd*; Fig. 5E). *hs-Dfd* induced ectopic expression in the dorsal clypeolabrum, anterior to the normal domain of CR3 (Fig. 5, cf. E and I). Furthermore, the maxillary transgene pattern is abolished in embryos lacking *Dfd* function (Fig. 5J). These results show that in both *Drosophila* and mouse embryos CR3 behaves as a *Dfd*/group 4 responsive enhancer.

In *Dfd* mutants, despite loss of the maxillary domain, thoracic expression of CR3 is unaffected (Fig. 5J). We tested the possibility that this remaining thoracic ex-

Figure 4. CR3 is regulated by group 4 and 5 genes in the mouse. (A,B) Dorsal views of 10.5-dpc embryos transgenic for construct 8 and heterozygous (A) or homozygous (B) for mutant alleles of both *Hoxb4* and *Hoxd4* showing that absence of both genes leads to loss of *lacZ* expression from the vicinity of r6/7. (C) Comparison between wild-type (left) and β -actin *Hoxb4* transgenic (right) 9.75-dpc embryos immunostained for *HOXB4*. (D) Dorsal view of hindbrain of 10.5-dpc embryo carrying a β -actin *Hoxd4* construct and showing ectopic sites of expression (arrows). (E–H) Midbrain regions of control embryos transgenic for just construct 8 (E) or embryos also transgenic for β -actin *Hoxb5* (F), β -actin *Hoxb4* (G) and β -actin *Hoxd4* (H), all showing ectopic midbrain expression (arrows).

pression is dependent on other *Hox* genes. Ubiquitous expression induced from a *hs-labial* (*hs-lab*) construct did not activate CR3 ectopically, although in some cases a modest increase in staining within the normal expression domain was observed (Fig. 5D). In contrast, clear ectopic responses to *Sex combs reduced* (*Scr*) and *Antp* were obtained (Fig. 5F,G). *Antp* transactivates expression anterior to the normal CR3 domain, like *Dfd*. However, *Scr* produces an ectopic response in a posterior region that is particularly striking in the first abdominal segment (A1), suggesting that it might override a repressive role of the resident group 7/*Ubx* gene. Consistent with this, *hs-Ubx* produced a dramatic and widespread down-regulation in CR3 expression (Fig. 5H). Using a loss-of-function approach, we examined embryos deficient for both *Scr* and *Antp*. In the absence of these *Hox* genes, thoracic expression is abolished, whereas the maxillary domain remains unaffected (Fig. 5K). Therefore, *Dfd*, *Scr*, and *Antp* are all required for activating different aspects of the CR3 expression pattern. *Ubx* apparently serves a

repressive role, and *lab* does not seem to participate (summarized in Fig. 8, below). From these results in both systems, we conclude that the ability of CR3 to discriminate between group 1 and group 4 and 5 *Hox* genes is conserved between mouse and *Drosophila*. One implication of the *Drosophila* experiments is that the group 6 and 7 *Hox* genes in the mouse are likely to have activating and repressing roles, respectively.

Is CR3 a direct target of Hox genes?

The *Hox* responsiveness of CR3 could be direct or indirect. To investigate the mechanism in more detail, 5' deletions of CR3 (constructs 10–12) were analyzed in transgenic mice to map the elements involved. Construct 11 generated the typical CR3 pattern, but construct 12 did not express (Fig. 2; data not shown), defining a critical role for a 61-bp region within the enhancer. Inspection of the sequence of this short region indicates the presence of two closely spaced and highly conserved TAAT motifs (HS1 and HS2 sites, Fig. 6A). Such motifs

A

B

form a core recognition sequence for many HOX proteins, including those of group 4 (Regulski et al. 1991; Popperl and Featherstone 1992; Mann and Chan 1996). This raises the possibility that these two sites mediate the *Hox* responsiveness of CR3 by direct binding. To test this hypothesis, the ability of a GST-HOXB4 fusion protein to interact with oligonucleotides containing HS1 and/or HS2 was assayed in vitro. We obtained specific binding of GST-HOXB4 to oligonucleotides containing HS2, or both HS1 and HS2, that was efficiently competed

erative binding of multiple HOXB4 proteins is not an explanation for this effect.

To test the function of the HS1 and HS2 sites in vivo, the same series of point mutations that abolished HOXB4 binding in vitro were introduced into the CR3 enhancer (constructs 9, 13–15). Constructs containing a mutation in HS1 gave a moderate reduction in expression compared with wild-type CR3, whereas mutation of HS2 resulted in a more severe reduction in transgene expression (Fig. 7A–C). Mutations in both sites abolished all CR3-dependent expression in mouse embryos (Fig. 7D). When the mutations of the HS1 and HS2 sites were introduced into the *Drosophila* reporter constructs, once again, all CR3-dependent staining was abolished (Fig. 5M). These results show that the same two sites are critical for enhancer activity in both mouse and *Drosophila* embryos. In this regard, it is important to note that in both species, mutation in HS1 and HS2 abolishes all of the CR3-mediated expression and not just those domains dependent on group 4 *Hox* genes (*Dfd* and *Hoxb4/Hoxd4*). Because we have shown above, that intact CR3 also responds to *Scr/Hoxb5*, *Antp*, and *Ubx*, it appears that HS1 and HS2 are capable of mediating all of the *Hox* regulatory inputs that we have observed. Together with the in vitro binding data, this strongly suggests that CR3 is a direct target for control by multiple *Hox* genes.

CR3 activity is not completely dependent on extradenticle in Drosophila

In vivo both HS1 and HS2 are required for full enhancer activity, yet in vitro they varied considerably in their ability to bind GST–HOXB4. Therefore the sequence contexts around HS1 and HS2 were examined, to determine whether they might account for the differential binding properties. We noted that HS2, but not HS1, was part of a bipartite HOX/PBC motif (Fig. 6A), which serves as a target site for cooperative binding between multiple HOX and PBX/EXTRADENTICLE (EXD) (PBX/EXD) family members (Chang et al. 1996; Lu and Kamps 1996; Mann and Abu-Shaar 1996). However, our in vitro assays with GST–HOXB4 and EXD or PBX-1 (PBC) proteins did not reveal any cooperative binding to HS2 or HS1 (data not shown). We still do not rule out some involvement of PBC proteins on HS2, because other studies with *Drosophila* DFD have shown a modest stimulation of the binding of DFD itself to DNA by PBC proteins in the absence of true cooperative binding between the two proteins on a target site (Zeng et al. 1994). To test whether PBC proteins are involved in regulation of CR3 in vivo, we have examined the *exd* dependence of CR3 mediated expression in *Drosophila*. Removing both maternal and zygotic *exd* contributions from the embryo resulted in a somewhat reduced level of maxillary and

Figure 7. HS1 and HS2 are essential components of CR3 and are required for r6/7 boundary specification by *Hoxb3* and *Hoxb4* transgenes. (A–D) Dorsal views of 10.5-dpc embryos transgenic for CR3 reporter constructs 10 (A), 13 (B), 14 (C), 15 (D) containing combinations of the HS1 and HS2 mutations (as indicated above each panel; see Fig. 2 for details). (E, F) Dorsal views of 10.5-dpc embryos carrying wild-type (HS wt: construct 1) or an HS1 and HS2 mutant (Hsmut: construct 3) variant of the 9-kb *Hoxb4* promoter transgenes, respectively. (G, H) Dorsal views of 10.5-dpc embryos carrying wild-type (construct 2) or HS1 and HS2 mutant (construct 4) versions, respectively, of the 9-kb *Hoxb3* P2 transgenes.

thoracic expression (Fig. 5D; data not shown). Although attenuated, expression is not abolished completely, showing that CR3 can function partially in the absence of *exd*. This contrasts with the previously characterized *Hoxb1* autoregulatory element that is strictly dependent on *exd* function (Popperl et al. 1995).

TAAT motifs in CR3 are required for both Hoxb3 and Hoxb4 expression

Our experiments indicate that auto- and cross-regulatory mechanisms are used by CR3 to generate a spatially restricted pattern of expression in the neural tube with an anterior boundary at r6/7. Having mapped the two TAAT sites required for CR3 function on a heterologous β -globin promoter, we next wanted to test their role in the normal context of the intergenic region between *Hoxb3* and *Hoxb4*. We have shown above that 9 kb of genomic DNA, containing CR3, is capable of specifying the *Hoxb4* pattern and the late neural *Hoxb3* pattern (constructs 1 and 2). These same 9-kb constructs were modified to incorporate the point mutations of both HS1 and HS2 characterized previously, leaving every other aspect identical (constructs 3 and 4).

Mutation of HS1 and HS2 resulted in a consistent posterior shift in expression driven from the *Hoxb4* promoter (Fig. 7E,F). At 10.5 dpc, expression was shifted posteriorly from the vicinity of the r6/7 boundary, to a position similar to that seen when CR3 itself is deleted from region A (cf. Figs. 3B and 7F). These same TAAT mutations, tested in the context of the *Hoxb3* P2 reporter transgene, caused an even more extensive posterior shift. All expression was lost in the hindbrain, but staining in the spinal cord was unaffected (Fig. 7G,H). These results show that both promoters require the HS1 and HS2 TAAT motifs to specify the correct r6/7 expression boundary. Together with the other mouse and *Drosophila* data above we conclude that CR3 is a direct *Hox* auto/cross-regulatory target, shared between the *Hoxb3* and *Hoxb4* genes.

Discussion

We have characterized a conserved neural enhancer (CR3) that is involved in maintaining expression of both the *Hoxb3* and *Hoxb4* genes in the posterior hindbrain. CR3 is activated by multiple *Hox* genes in an auto- and cross-regulatory manner to specify a common expression boundary for *Hoxb3* and *Hoxb4* at r6/7. The combination of transgenic and in vitro binding studies of the two TAAT motifs required for enhancer function (HS1 and HS2) strongly suggest that this control region is a direct in vivo target for multiple HOX proteins. Our analysis has uncovered two of the mechanisms contributing to the nested overlapping domains of vertebrate *Hox* gene expression; shared regulatory regions and positive cross-regulation of a *Hox* gene by its 5' neighbors. As the ANT-C and BX-C *Hox* genes do not appear, in general, to use either of these modes of regulation, it is important to compare and contrast our vertebrate findings with other studies in *Drosophila*.

Hox complexes: shared regulatory elements and overlapping expression patterns

The data presented here show that a single pair of HOX-binding sites within CR3 are required for the correct neural regulation of the *Hoxb3* and *Hoxb4* genes. Therefore, a single regulatory element is shared by two neighboring *Hox* genes. Sharing between these promoters could occur simultaneously or by a "flip-flop" mechanism similar to the way the locus control region of the β -globin gene cluster operates (Wijgerde et al. 1995). The bidirectional nature of CR3 implies that there are no boundary or insulator elements restricting the activity of this enhancer to only one *Hox* promoter.

Our transgenic analysis in the mouse indicates the presence of regulatory regions, other than CR3, within the 9-kb interval that mediate posterior expression from the *Hoxb4* and *Hoxb3* P2 promoters (Fig. 3A,B). As the transcriptional readout from these posterior elements is different on the two promoters (Fig. 1G,H and Fig. 7E–H), we conclude that not all regulatory activities are shared. This selectivity could reflect intrinsic differences in the specificity of the *Hoxb4* and *Hoxb3*P2 promoters or the presence of boundary elements capable of influencing the directionality of only certain enhancers.

Hoxb3 provides a particularly clear example of a *Hox* pattern that shares expression domains with its neighbors in the complex, and our analysis in the murine CNS reveals that there are three different subsets of the expression pattern with anterior boundaries at r6/7, r4/5, and r2/3 (Fig. 1; Sham et al. 1992). Here we have shown that the CR3 enhancer shared with the 5' neighbor *Hoxb4*, accounts for the r6/7 subset. Because the position and sequence of this enhancer is conserved in the human, mouse, chicken, and pufferfish *HoxB* complexes (Sham et al. 1992; Aparicio et al. 1995; Morrison et al. 1995) and we have found a similar r6/7 subset of the *Hoxb3* expression pattern in the chick hindbrain (L. Ariza-McNaughton and R. Krumlauf, unpubl.), it appears that the sharing of CR3 is conserved among vertebrates. As the r2/3 subset of expression is similar to that of the 3' neighbor, *Hoxb2*, it is possible that *Hoxb3* shares regulatory elements with the *Hox* genes on both sides of it in the complex. The existence of a silencer element that regulates both *Hoxd10* and *Hoxd11* indicates that sharing of regulatory elements is not unique to CR3 (Gérard et al. 1996). Furthermore, our previous studies have identified an enhancer (region C; Whiting et al. 1991) within the intron of *Hoxb4* that directs a domain of neural expression with an anterior boundary similar to that of the adjacent 5' gene, *Hoxb5*. This raises the possibility that a *Hoxb4*/*Hoxb5* overlap in expression also occurs by enhancer sharing. Therefore, it may be that sharing of regulatory components is a widespread and important feature of vertebrate *Hox* complex organization.

At present there appears to be no evidence for the sharing of *cis*-regulatory regions between *Hox* genes in the *Drosophila* BX-C and ANT-C and it is more difficult to envisage sharing operating over the larger distances in-

volved in these clusters. Furthermore, within the BX-C, boundary elements have been identified that are required to ensure the functional autonomy of *cis*-regulatory regions (Karch et al. 1985; Gyurkovics et al. 1990; Sanchez-Herrero 1991). The existence of boundary elements in *Drosophila* Hox complexes would tend to attenuate the bi-directional action of enhancer elements like CR3 (Hagstrom et al. 1996; Zhou et al. 1996). Therefore, sharing may not be present in *Drosophila* but could be one of the mechanisms used for generating the extensive overlaps in Hox expression observed in vertebrate species.

Autoregulation and functional redundancy between paralogs

In *Drosophila*, the maintenance of Hox expression is controlled by the *Polycomb* and *trithorax* group genes and by autoregulatory circuits (Bienz 1992; Kennison 1993; Orlando and Paro 1995; Simon 1995). Both direct and cell-signal mediated autoregulatory feedback loops have been characterized (Regulski et al. 1991; Bienz 1994). *Dfd* uses direct autoregulation in both the epidermis and the central nervous system, mediated by several tissue-specific and highly restricted autoregulatory elements (Kuziora and McGinnis 1988; Regulski et al. 1991; Zeng et al. 1994; Lou et al. 1995). A module of the *Dfd* epidermal enhancer requires binding sites for both DFD protein and the DEAF-1 cofactor (Zeng et al. 1994). DEAF-1 encodes a novel DNA-binding protein containing a short motif with some similarity to *trithorax* (Gross and McGinnis 1996). In addition two of the *Dfd* enhancer modules also contain overlapping bipartite HOX/PBC sites (Regulski et al. 1991; Lou et al. 1995). There is already some evidence that *Dfd* autoregulatory mechanisms may be conserved between *Drosophila* and mouse. (Awgulewitsch and Jacobs 1992; Malicki et al. 1992). When a human *HOXD4* enhancer was assayed in *Drosophila* embryos it gave a late *Dfd*-dependent pattern. However expression did not require the DFD-binding sites, arguing against conservation of a direct autoregulatory loop (Malicki et al. 1992).

Our results indicate that CR3 regulates the *Hoxb4* promoter. The HS1 and HS2 sites in CR3 are a target for transcriptional activation by multiple group 4–6 Hox genes. In the mouse hindbrain, the only two group 4–6 genes expressed up to the r6/7 boundary at 10.5 dpc are *Hoxb4* and *Hoxd4*. The r6/7 limit of CR3 is only affected when both gene products are removed simultaneously and in gain-of-function experiments either *Hoxb4* or *Hoxd4* are able to activate CR3 ectopically. Functional redundancy between *Hoxb4* and *Hoxd4* at the level of patterning individual vertebral morphologies has been demonstrated (Horan et al. 1995a,b). Here we extend those studies by showing that *Hoxb4/Hoxd4* functional redundancy can operate at the level of individual genetic targets such as CR3. This demonstrates the importance of auto- and cross-regulatory interactions among the group 4 paralogs, and to distinguish these from cross-regulation between different paralogy groups we use the term para-regulation.

The analysis of CR3 in *Drosophila* embryos reveals that it is dependent on *Dfd*, responds to ectopic *Dfd*, and requires the HS1 and HS2 sites. Therefore, CR3 in both *Drosophila* and mouse displays an evolutionarily conserved response to the *Dfd*-related group 4 Hox genes. The para-regulation of CR3 we have shown in the mouse is analogous to *Dfd* autoregulation in *Drosophila*, and combined with the recent findings of a conserved *lab*-related auto-regulatory loop (Popperl et al. 1995; Chan and Mann 1996; Chan et al. 1996), this suggests that direct auto-regulatory interactions between arthropods and vertebrates are conserved.

Comparison of cross-regulation in mouse and Drosophila

In mouse, *Hoxb1*, *Hoxa1*, *Hoxb3*, and *Hoxb9* do not induce ectopic CR3 reporter expression. However, group 5 Hox genes (*Hoxb5*) are able to cross-activate *Hoxb4* via CR3, showing that there is a positive reinforcement of expression by the adjacent 5' gene. In *Drosophila*, removing group 5/*Scr* function leaves *Dfd* expression unaffected, indicating the absence of any positive cross-regulation of *Dfd* by *Scr* (Jack et al. 1988; Kaufman et al. 1990). In some ectopic contexts *Scr* is even capable of repressing *Dfd* expression (Gonzalez-Reyes et al. 1992). This contrasts with the behavior of CR3 in *Drosophila*, which is activated by *Dfd*, *Scr*, and *Antp*. Therefore, when in a *Drosophila* context, CR3 reproduces auto/cross-regulatory interactions that are characteristic of mouse *Hoxb4* and not the endogenous *Drosophila* *Dfd* gene.

We have presented evidence that expression of *Hoxb3* from the P2 promoter is under positive cross-regulation by the group 4 Hox genes via the CR3 enhancer. This is different to the situation in *Drosophila*, where there is no known regulatory relationship between the group 3 genes, *zerknüllt* (*zen*) and *z2*, and the group 4 gene *Dfd*. In fact, group 3 genes in insects appear to have lost a Hox function and instead may have a role in specifying extra-embryonic tissues (Rushlow and Levine 1990; Falciani et al. 1996).

In those *Drosophila* cases where there is extensive overlapping Hox expression, for example between the trunk selector genes *Antp*, *Ubx*, and *abd-A*, posterior genes tend to partially repress the transcription of more anterior genes (Hafen et al. 1984; Struhl and Akam 1985; Carroll et al. 1986; Wirz et al. 1986; Bermingham et al. 1990; Appel and Sakonju 1993). However, in mutants where an abnormal epidermal overlap between *Scr* and *Antp* is created, both negative and positive regulation of *Scr* by *Antp* can be demonstrated (Pelez et al. 1993). The significance of negative cross-regulatory interactions has been questioned by a series of elegant experiments uncoupling cross-regulation from a dominance hierarchy intrinsic to the HOX proteins themselves. Even in the absence of negative cross-regulations, posterior Hox genes can, in several cases, phenotypically suppress more anterior Hox loci (Gonzalez-Reyes and Morata 1990; Gonzalez-Reyes et al. 1990, 1992; Duboule and Morata 1994).

shock regimes to produce ectopic HOX proteins were 1 hr at 37°C, return to 25°C for 2 hr, followed by 1 hr at 37°C and a 4-hr recovery at 25°C, except for *hs-Ubx*, where a single 1-hr 37°C heat shock was used, followed by a 1-hr recovery at 25°C. Embryos deficient for maternal and zygotic *exd* function were produced by the FLP/*ovoD* method [Rauskolb et al. 1993].

Whole-mount in situ hybridizations and immunostaining

Whole mouse embryos were fixed in 4% formaldehyde and stained with a monoclonal antibody specific for mouse HOXB4 [A. Gould and R. Krumlauf, unpubl.] essentially as described [Cook et al. 1995]. Whole-mount in situ hybridizations using probes specific for *Hoxb3* exon III or IV were performed as described [Sham et al. 1992; Wilkinson 1992]. Both probes gave identical results, indicating that the r6/7 subset of transcripts include both coding exons of *Hoxb3*. *Drosophila* embryos were fixed and immunostained [Gould et al. 1990] with anti- β -galactosidase antibodies. For confocal microscopy of double label experiments with anti-*Dfd* (gift from W. McGinnis, University of California, San Diego) and anti- β -galactosidase [Cappel] antibodies, Texas red and FITC-conjugated secondary antibodies (Jackson ImmunoResearch) were used. No significant cross reaction of either secondary antibody was observed.

Recombinant proteins and EMSA

Purified GST-HOXB4 fusion that lacks only the first 13 amino acids of HOXB4, was produced as described [Smith and Johnson 1988] except that protein was washed with PBS containing 0.1% Triton X-100 and after elution the buffer was exchanged for PBS containing 5 mM DTT using a Centricon-C30 (Amicon), 10% glycerol added and stored at -70°C. Monoclonal antibodies against HOXB4 were purified from serum-free medium by affinity chromatography on a protein G column. Binding reactions for EMSA were prepared as in Popperl et al. 1995 except that 400 ng poly[d(I-C)] was always added. Oligonucleotide sequences were as follows: HS1, CAAGAGCTAAGAAAAATGTGAGAATTATACAGAA; HS2, GAACCATTAATCACTTCTTTCTTTAAATAC; HS1 + HS2, GAGAATTATACAGAAAACATTAATCACTTC. Labeling was at a terminal C residue using Klenow polymerase and [α -³²P]dCTP. Residue alterations for the mutant oligonucleotides were identical to those used in transgenic analysis (Fig. 6A). In each binding reaction, 50–500 ng of recombinant proteins were used and, where included, 200 ng of competitor oligonucleotides and 10 μ g of purified antibodies were added. After a 20-min incubation on ice, complexes were separated on a 6% acrylamide/2.5% glycerol/0.5xTBE gel run at 4°C.

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